



PUDUCHERRY SMART CITY DEVELOPMENT LIMITED



REQUEST FOR PROPOSAL FOR SELECTION OF SYSTEM INTEGRATOR FOR DESIGN, DEVELOPMENT, SITC, O&M FOR 05 YEARS OF INTEGRATED COMMAND & CONTROL CENTER (ICCC) & OTHER ASSOCIATED ACTIVITIES FOR PUDUCHERRY SMART CITY AREA

Volume III - Master Service Agreement

RFP NO: 1

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A PART A – GENERAL CONDITIONS OF AGREEMENT

1. Definition of Terms

In this RFP, the following words and expressions shall, unless repugnant to the context or meaning thereof, have the meanings hereinafter respectively assigned to them:

- 1.1. **“Acceptance of System”** means the System, including the hardware, software, solution or any Deliverable accepted or deemed to have been accepted by the Authority, subsequent to its installation, rollout and deployment of trained manpower, when all the activities as defined in Scope of Work have been successfully executed and completed to the satisfaction of the Authority and the Authority has given its acceptance by signing the Acceptance Certificate.
- 1.2. **“Acceptance Certificate”**- refers to that document/certificate issued by the Authority signifying acceptance of a hardware, software, solution, or any other Deliverable pursuant to the successful completion of the Acceptance Test of the System;
- 1.3. **“Acceptance Test” or “User Acceptance Test”** - means the test, standard procedure, trial runs to be conducted by the SI as per this RFP or as per the Agreement in relation to the Works.
- 1.4. **“Affiliate(s)”** means, with respect to any Person, any other Person, directly or indirectly controlled by, controlling or under common control with such Person. For purposes of this Agreement, the term "control" means the power to direct the management and policies of a Person, whether through the ownership of voting securities, by agreement or otherwise. An Affiliate shall remain an Affiliate only as long as such control exists.
- 1.5. **“Agreement”** means this Master Service Agreement including the Annexures hereto and any amendments thereto made in accordance with the provisions contained in this Agreement and includes *inter alia* (a) the complete RFP documents being Volumes I, II and III of the RFP and Corrigendum and addendum, (b) SI's offer, (c) letter of acceptance or letter of award or letter of intent issued by the Authority, (d) the acceptance of letter of award from SI, (e) notice to proceed with the Work, and (f) any other document listed in the Agreement data;
- 1.6. **“Agreement / Contract Value”** means the amount quoted by the SI in its commercial Bid and which has been duly accepted by RailTel, DRDM/PSCDL for the full and proper performance of its obligations under the Agreement;
- 1.7. **“Applicable Law(s)”** means all laws in force and effect as of the date hereof and/or laws which may be promulgated or brought into force and effect after the date of execution of the Agreement and includes any statute, law, ordinance, notification, rule, regulation, judgment, order, decree, injunctions, by-laws, approval, directive, guideline, policy, requirement or other governmental restriction or any similar form of decision applicable to the relevant Party and all judgments, decrees, injunctions, and orders of any court, tribunal or any quasi-judicial authority, as may be in force and effect during the subsistence of the Project;
- 1.8. **“Applicable Permits” / “Approvals”** means all clearances, licenses, permits, authorizations, no objection certificates, consents, approvals and exemptions under or pursuant to any of the Applicable Laws or from any Government Agency or third party, required to be obtained and/or maintained by the SI or its Sub Contractor(s) in order to implement the Project and for undertaking, performing or discharging the obligations contemplated under the Agreement, including but not

limited to clearances required for importing equipment, exemption of tax/duties/levies/work permits/clearances for SI/SI's Team;

- 1.9. **"Appointed Date"** shall mean the date so specified in the by the Authority or an earlier or later date that Authority and the SI may by mutual consent determine, prior to which all the Conditions Precedent specified in the Agreement for the full effectiveness of the provisions of the Agreement shall have to be met by Authority and the SI.
- 1.10. **"Approved Plan"** shall mean the approval given by the Authority to the plan submitted by the SI for executing the Works under the Agreement.
- 1.11. **"Authority" means "Department of Revenue and Disaster Management"/ "Puducherry Smart City Development Limited" / "RailTel Corporation of India Ltd".** The Project shall be executed in Puducherry and shall be owned by Puducherry Department of Revenue and Disaster Management / **Puducherry Smart City Development Limited.**
- 1.12. **"Bank Guarantee"** means an irrevocable and unconditional bank guarantee payable on demand and issued by a bank in favour of the Authority and furnished by the SI or its Sub Contractor(s) to Authority for guaranteeing the due performance of its obligations under the Agreement.
- 1.13. **"Bid"** means the documents in their entirety comprised in the bid submitted by the Bidder in response to this RFP No. [_____] dated *****
- 1.14. **"Bidder"** shall mean Person, organization or consortium submitting the proposal in response to this RFP;
- 1.15. **"Business Day"** means the working day in the city of Puducherry;
- 1.16. **"Change Control Note"** shall have the meaning as set forth under Article 55 and in the format specified under Annexure I of this RFP;
- 1.17. **"Commercial Off-The-Shelf (COTS)"** refers to software products that are ready-made and available for sale, lease, or license to the general public;
- 1.18. **Completion Date"** shall mean the date on which the Completion Clearance is issued by the Authority to the SI, upon the completion of the Project;
- 1.19. **"Conditions Precedent"** shall have the meaning set forth in Article [3] of this RFP;
- 1.20. **"Confidential Information"** means all information including any information (whether in written, oral, electronic or other format) which relates to the technical, financial and business affairs, dealers, suppliers, products, developments, operations, processes, data, trade secrets, design rights, know-how, plans, budgets and information and data which is proprietary to Authority and which is disclosed to or otherwise learned by SI in the course of or in connection with the Agreement but does not include information (i) which is available lawfully in the public domain; (ii) publicly known through no fault of the SI; (iii) already known to the SI from someone other than the Authority who is not bound by confidentiality restrictions; or (iv) independently developed by the SI without access to or use of the Confidential Information disclosed.
- 1.21. **"Consortium"** means a group of Persons/entities who have jointly formed a consortium for submitting a joint bid/proposal in accordance with this RFP for the Project. The Consortium shall be represented/headed by a Lead Member and shall be the entity/Person named in the Agreement for any part of the Work and/or who has been sublet with the consent in writing of the Authority and shall include its successors, representatives approved by Authority, heirs, executors, administrators

and permitted assigns, as the case may be, unless excluded by the terms of the Agreement.

- 1.22. **“Consortium Member(s)”** means each entity/member who have come together to form the consortium for the purposes of submitting a joint bid in response to this RFP.
- 1.23. **“Cure Period”** shall mean a period of 60 (sixty) days or such greater period as may be specified in the Notice of Intention to Terminate.
- 1.24. **“On Premise Data Centre”/ “DC”/ “Data Centre Site”/ “DC Site”/ “Server Room”** means the data centre sites including their respective data centre space, wherein the delivery, installation, integration, management and maintenance services as specified under the Scope of Work are to be carried out for the purpose of this Agreement. The DC Site will be located at premise decided by the **Authority**. (To review in accordance with Article 18)
- 1.25. **“Deliverable(s)”** shall mean all of the equipment, sub-systems, hardware, software, products accessories, software, source code, documentation, reports and/or other material/items which SI is required to supply, install and maintain under the scope of the Agreement.
- 1.26. **“Developed Materials”** shall have the meaning ascribed to it in Article 27.3;
- 1.27. **“Document”** means any embodiment of any text or image however recorded and includes any data, text, images, sound, voice, codes, databases or any other electronic documents /records as contemplated as per Information Technology Act 2000 and the rules framed under the said Act;
- 1.28. **“Effective Date”** means the date on which the Agreement is signed or letter of intent is issued by Authority, whichever is earlier and executed by the Parties hereto.
- 1.29. **“Fixes”** means product fixes that are either released generally (such as commercial product service packs) or that are provided to SI or their Subcontractor when performing services (such as workarounds, patches, bug fixes, beta fixes and beta builds) and any derivatives of the foregoing.
- 1.30. **“Force Majeure” or “Force Majeure Event”** shall have the meaning set forth in as per Article 38;
- 1.31. **“Goods”** means all of the equipment, sub-systems, hardware, software, products accessories, components, software and/or other material/items and includes their user manuals, technical manuals, operating manuals, service mechanisms, policies and guidelines (such as security related, data migration related) and all its modifications which SI is required to supply, install and maintain under the Agreement;
- 1.32. **“Good Industry Practice”** means the practices, methods, techniques, designs, standards, skills, diligence, procedure, efficiency, reliability and prudence which would reasonably and ordinarily be expected from a skilled and experienced contractor engaged in activities of a similar scope and complexity to those that are the subject of the Agreement and as envisaged under this RFP and under the same or similar circumstances, where such contractor is seeking to comply with its contractual obligations and all Applicable Laws and regulatory requirements. It would include good engineering practices in the design, engineering, construction and project management and acting generally in accordance with the provisions of this RFP and would include which would be expected to result in the performance of its obligations by the SI in accordance with the Agreement, this RFP, Applicable Laws and Applicable Permits in reliable, safe, environment protected, economical and efficient manner;

- 1.33. **“Go- Live”** means installation, testing, commissioning of Project, including training as per Scope of Work mentioned in the Agreement or this RFP. SI should have the approval from Authority for carrying out User Acceptance Test.;
- 1.34. **“Government Instrumentality” / “Government Agency”/ “Government Authority”** means any department, division or sub-division of the Government of India or the Government of Puducherry or any other State Government, including but not limited to the Puducherry Municipal Corporation, Authority, as may be applicable, including any commission, board, body, bureau, authority, agency, instrumentality, court or other judicial or quasi-judicial or administrative body, at central, state or local level, or municipal and other local authority or statutory body including Panchayat under the control of the Government of India or the Government of Puducherry, as the case may be, and having jurisdiction over the SI, SI's Sub Contractor the Project or any portion thereof or the performance of all or any of the Services or obligations of the SI or SI's Sub Contractor under or pursuant to this RFP or under the Agreement;
- 1.35. **“Integrated Command and Control Centre” OR “ICCC”** means the integrated/centralized operation centre as contemplated under this RFP to implement holistic and integrated solution for multiple (existing and future) IT initiative for Authority. The IT initiative may be of any department approved by Authority, such as Puducherry Municipal Corporation/Puducherry Police department or any other authority/body which would conduct inter-alia activities like surveillance on security and civil issues through IOT based network for the entire Authority;
- 1.36. **“Intellectual Property Rights”** means all rights pertaining to patent, trademarks, copyrights, trade secrets, service marks, logos, brands, trade names, internet domain names, formulae, designs, software (whether in object code or source code), know-how, processes, techniques, methods, technical data, databases, proprietary information, utility models, rights in know- how and other intellectual property rights, whether existing as of the Effective Date or arising thereafter, and all of the goodwill associated with the use of, and symbolized by, any of the foregoing, all rights of indemnification with respect to any of the foregoing, the right to prosecute and sue for past, present and future infringements, dilutions, violations or misappropriations with respect to any of the foregoing, all rights corresponding to any of the foregoing throughout the world, and all proceeds of any the foregoing, including licenses, royalties and proceeds of suit, and any right to any of the foregoing granted under any License.
- 1.37. **“Key Personnel”** means employees of SI whether employed directly on rolls of SI or engaged indirectly, providing services to SI through a contractor and the key personnel of SI as referred in Section 3.6.3 of the RFP Volume I proposed.
- 1.38. **Lead Member”** means the member of the Consortium who is heading/representing the Consortium and who shall bid on behalf of the Consortium and represent the Consortium before the Authority and shall act as the interface between the Consortium and the Authority;
- 1.39. **“Material Adverse Effect”** shall mean circumstances which may or do (i) render any right vested in a Party by the terms of the Agreement ineffective, or (ii) adversely affects or restricts or frustrates the ability of any Party to observe and perform in a timely manner its obligations under this Agreement or the legality, validity, binding nature or enforceability of the same;

- 1.40. **“Milestone” or “Project Timeline(s)”**: means the stipulated time period fixed under the Agreement or under the RFP for completion of Works or part of the Works by the SI.
- 1.41. **“SI”** shall mean the successful bidder (Person, organization, Consortium) who is selected by the Authority at the end of the RFP process for execution of the Project and shall be deemed to include the SI's successors, agent(s), agency, representatives (approved by Authority), heirs, Affiliates, executors, administrators and permitted assigns, as the case may be, unless excluded by the terms of the Agreement.
- 1.42. **“SI's Team”** means the team established/formed by SI for executing the Works under the present RFP and the Agreement and shall include any and/or all of the employees of SI, agent(s), agency, authorized service providers/partners and representatives or other Personnel employed or engaged either directly or indirectly by SI for the purposes of the Agreement;
- 1.43. **“Notice”** means a written notice, consent, approval or other communication required to be sent to the parties under the Agreement;
- 1.44. **“Notice of Intention to Terminate”** shall mean the notice issued by a Party to the other Party expressing its intention to terminate the Agreement.
- 1.45. **“OEM”** means the original equipment manufacturer of any equipment/system/software/product who is/are providing such Goods to the Authority under the scope of this RFP or the Agreement;
- 1.46. **“O & M”**: shall mean Operations and Maintenance services for the software, hardware and other IT and Non-IT infrastructure installed as part of the project after Go-Live or Phase wise Go-Live and for a period of 5 years from the date of Go-Live or phase wise Go-Live. Warranty period of the products supplied under the project i.e., hardware, software, IT/Non-IT systems etc., will be considered after phase wise Go-Live only.
- 1.47. **“Person”** includes any individual, company, corporation, partnership, joint venture, trust, unincorporated organization, government or Governmental Authority or Government Agency or any other legal entity;
- 1.48. **“Performance Bank Guarantee”/ “PBG”** means performance bank guarantee as defined under Annexure 4.2 of the RFP Volume I
- 1.49. **“Project”** means the project of DESIGN, DEVELOPMENT, SITC, O&M FOR 05 YEARS OF INTEGRATED COMMAND & CONTROL CENTER(ICCC) & OTHER ASSOCIATED ACTIVITIES FOR PUDUCHERRY SMART CITY AREA in Puducherry by the SI or by its Sub Contractor(s) in pursuance of the terms and conditions of this RFP/Agreement.
- 1.50. **“Project Location(s)”** shall mean the location(s)/ site(s) where the Works are to be executed by the SI.
- 1.51. **“Project Manager”/ “Authority's Representative”** shall mean the person appointed by the Authority for supervising and managing the affairs in relation to the Project.
- 1.52. **“Project Office”** means the site office to be set up by the SI for the execution of the Project.
- 1.53. **“Project Report(s)”** shall mean the report(s) or the updates to be submitted by the SI in relation to the Works at regular intervals;
- 1.54. **“Project Team”** means the SI's Key Personnel, team members or any other person duly authorized by the Authority for the execution of the Works and the Project.
- 1.55. **“Project Plan” or “Plan” or “Revised Plan” or “Work Plan” or “Program of Work(s)”**: means the plan/ schedule, methodology, design documents, specifications, or any

other document submitted by the SI to the Authority for executing the Works under the Agreement or for the fulfilment of its various obligations under the Agreement.

- 1.56. **“RFP”** means this Request for Proposal for the selection of SI for implementation of the Project;
- 1.57. **“Scope of Work”** shall have the meaning as set forth in Article [4.] of this RFP;
- 1.58. **“Service Levels”**: shall mean the level of service to be provided/rendered by SI for executing/completing the Works and for meeting its various obligations under the Agreement and shall include the meaning set forth in Part C of this RFP;
- 1.59. **“Service(s)” or “Activity” or “Activities”**: shall means the Works/Services to be carried out or rendered by the SI and or its Sub Contractor pursuant to this RFP and the Agreement or any other specific assignment awarded by the Authority to SI;
- 1.60. **“Service Specifications”** shall mean the specifications as set out in PART C-SERVICE LEVELS of this RFP;
- 1.61. **“Steering Committee” or “High Powered Committee” or “Project Information Committee”** shall mean a committee formed to supervise/monitor the work of the Project Management Committee and also the Project Manager. It shall act as the appellate body over the decision rendered by the Project Management Committee;
- 1.62. **“Site”** means the lands and other places on, under, in or through which the permanent works are to be carried out and any other lands or places provided by the Authority for the purpose of the Agreement.
- 1.63. **“Sub-Contractor”** shall mean the entity or agency working on behalf of SI and who is named in the Agreement for any part of the Scope of Work or any Person to whom any part of the Agreement has been sublet with the consent in writing by the Authority and shall include the heirs, legal representatives, successors and assignees of such Person;
- 1.64. **“Termination Notice”** shall mean the notice issued by either Party to the other Party in accordance with the provisions of the Agreement terminating the Agreement;
- 1.65. **“Work(s)” or “Program of Work(s)”** means the entire work or a part of it to be undertaken by SI for implementation of the ICCC Project in Authority as envisaged in the present RFP and the Agreement together with all Annexures, Schedules, referenced documents and all amendments, corrigendum, addendums and changes thereto.

2. Interpretation

- 2.1 **In this RFP unless a contrary intention is evident:**
 - a. “Party” shall mean SI or **Authority** individually and “Parties” shall mean SI and **Authority** collectively;
 - b. the clause headings are for convenient reference only and do not form part of the Agreement;
 - c. unless otherwise specified a reference to a clause number is a reference to all of its sub-clauses;
 - d. the word “include” or “including” shall be deemed to be followed by “without limitation” or “but not limited to” whether or not they are followed by such phrases;

- e. unless otherwise specified a reference to a clause, sub-clause or section is a reference to a clause, sub-clause or section of the Agreement including any amendments or modifications to the same from time to time;
- f. a word in the singular includes the plural and a word in the plural includes the singular;
- g. a word importing a gender includes any other gender;
- h. a reference to a person includes a partnership and a corporate body;
- i. a reference to legislation includes legislation repealing, replacing or amending that legislation;
- j. Where a word or phrase is given a particular meaning, it includes the appropriate grammatical forms of that word or phrase which have corresponding meanings.
- k. In the event of an inconsistency between the terms of the Agreement and the RFP and the Bid, the terms of the RFP shall prevail.
- l. In case there is a contradiction between the clauses mentioned in the RFP, the below hierarchy of clauses in order of precedence shall be applicable:
 - a. Pre-bid clarification and Corrigendum, if any
 - b. RFP Volume III
 - c. RFP Volume II
 - d. RFP volume I

3. Conditions Precedent

- 3.1 Save and except as expressly provided, the respective rights and obligations of the Parties under the Agreement shall be subject to the satisfaction in full of the conditions precedent specified in this Article 3.
- 3.2 Conditions Precedent required to be satisfied by Authority prior to the Appointed Date shall be deemed to have been fulfilled when Authority shall have granted to the SI the right of way to the Site as per provisions of the Agreement. Provided that, where the project implementation is phase wise, the condition precedent will be satisfied when the authority shall have granted right of way for [Phase-1] of the project. Authority shall handover, to the SI, the right of way to the Site as per provisions of the Agreement only when the Conditions Precedent required to be satisfied by the SI have been duly fulfilled.
- 3.3 The Conditions Precedent required to be satisfied by the SI prior to the Appointed Date shall be deemed to have been fulfilled when the SI shall have:
 - 3.3.1 Furnished an unconditional and irrevocable Performance Bank Guarantee (PBG) as per (Annexure 4.2 of the RFP Volume I) from a nationalized bank and in a form and manner which is acceptable to the Authority, which would remain valid until such time as stipulated by the Authority.
 - 3.3.2 Obtained all statutory Approvals and Permits required for the performance of the Services under the Agreement; this may include Approvals/clearances, wherever applicable, that may be required for execution of the Agreement e.g., clearances from Government authorities for importing equipment, exemption of tax/duties/levies, work permits/clearances for SI/SI's team, etc.
 - 3.3.3 Furnished the notarized copies of any/all contract(s) duly executed by SI and its OEMs existing at the time of signing of the Contract in relation to the Project.

- 3.4 The Authority reserves the right to waive any or all the conditions specified in Article 3.3 above in writing and no such waiver shall affect or impair any right, power or remedy that the Authority may otherwise have.
- 3.5 Each Party shall make all reasonable endeavours to satisfy the Conditions Precedent within the time stipulated herein and provide the other Party with such reasonable cooperation as may be required to assist that Party in satisfying the Conditions Precedent for which that Party is responsible. The Parties shall notify in writing atleast once a month on the progress made in satisfying the Conditions Precedent. The SI shall promptly inform the Authority when any Conditions Precedent for which it is responsible has been satisfied.
- 3.6 In the event that any of the conditions set forth in Clause 3.2 and 3.3 hereinabove are not fulfilled within the Appointed Date, or such later date as may be mutually agreed upon by the Parties, the Authority may terminate the Contract and upon such termination, SI shall have no right to claim any damages from the Authority on such account.

4. Scope of work

- 4.1 The Scope of the Work under the Agreement shall be as defined in RFP Volume II and Annexures thereto of the said RFP.
- 4.2 The Authority has engaged SI to provide services related to implementation of ICCC in Puducherry, using which the Authority intends to perform its business operations. SI with prior written approval of the Authority would have the right to appoint a Sub Contractor for subcontracting any part of the Works/Services to such nominated Subcontractor. The Sub Contractor to be appointed and the subcontract shall be in a form and manner acceptable to the Authority. The Subcontractor shall fully abide by the terms and conditions of the Agreement. It is a fundamental term of the Agreement that appointment of a Sub Contractor would not absolve SI of any obligations to be performed by the Sub Contractor under the Agreement, and SI shall be responsible for all acts of the Sub Contractor and indemnify the Authority for losses, damages, claims suffered by the Authority due to any acts of omission and commission by the Sub Contractor while performing its obligations under the subcontract.
- 4.3 In addition to the above scope of work mentioned in Article 4.1 of this RFP, Authority may require SI to provide such Goods, Products, Services and support as the Authority may deem fit and proper and necessary, during the Term of the Agreement, and may include all such processes and activities which are consistent with the proposals set forth in the Bid, the Tender and the Agreement and are deemed necessary by the Authority, in order to meet its business requirements related to the Project.

5. Key Performance Measurements

- 5.1 Unless specified by the Authority to the contrary, SI shall deliver the Goods, perform the Services and carry out the Scope of Work in accordance with the terms of the RFP and the Agreement.
- 5.2 If the Agreement, Scheduled Requirements, Service Specification includes more than one Document, then unless the Authority specifies to the contrary, the later in time shall prevail over a Document of earlier date to the extent of any inconsistency.
- 5.3 The Authority may propose to amend any of the terms and conditions in relation to the Agreement/Service Specifications which shall be amended in consensus and

mutual consent of SI and may issue any such directions which are not necessarily stipulated therein if it deems necessary for the fulfilment of the Schedule of Requirements and if such directions are resulting in extra time/fund requirement on part of SI/ consortium members; accordingly, Authority shall by way of issuing a change request or otherwise extend the timelines and/or increase the price.

6. Commencement and Progress

- 6.1 Subject to the fulfilment of the Conditions Precedent under Article 3.1 above, SI shall commence the performance of its obligations in a manner as per the Scope of Work specified under Article 4 above.
- 6.2 SI shall proceed to carry out the Activities/Services with diligence and efficiently in accordance with any stipulation as to the time, manner, mode, and method of execution contained in the Agreement.
- 6.3 SI shall be responsible for and shall ensure that all Activities/Services are performed in accordance with the Agreement, Scope of Work, Scheduled Requirements and Service Specifications and that SI's Team complies with such Service Specifications and all other standards, terms and other stipulations/conditions set out in this RFP and or the Agreement.

7. Standards of Performance

- 7.1 SI shall perform the Activities/Services and carry out its obligations under the Agreement with due diligence and in accordance with Good Industry Practices. SI shall employ appropriate advanced technology and engineering practices, shall maintain high safety standards, safe and effective equipment, machinery, material and methods and shall always act, in respect of any matter relating to this Agreement, as faithful advisors to the Authority and shall, at all times, support and safeguard the Authority's interests in any dealings with third parties.

8. Approvals and Required Consents

- 8.1 The Authority shall extend all necessary support to SI to obtain, maintain and observe all Applicable Permits/Approvals as may be necessary for SI to fulfil all its obligations under the Agreement and/or for providing Goods and Services to the Authority. The costs of such Applicable Permits/Approvals shall be solely borne by SI. Authority shall provide all reasonable co-operation, support and information available with it for obtaining such Approvals.
- 8.2 In the event, despite the support provided by the Authority, the Applicable Permit/Approval could not be obtained by SI within the Appointed Date, SI and the Authority shall discuss and co-operate with one another for achieving a reasonable alternative arrangement at the earliest, so that there is minimal disruption of Work or business operations, until such Approval(s) is/are obtained. However, if for any reason, no alternative arrangement could be achieved, Parties shall mutually decide the further course of action, however, until then, SI shall not be relieved of its obligations to provide the Services and to achieve the Service Levels.

9. Constitution of Consortium

- 9.1 A group of Persons/entities may form a Consortium for submitting a bid under the present RFP. The Consortium shall be headed and represented through a Lead Member who shall act as the interface between the Consortium and the Authority. Unless the Authority deems fit and the Agreement requires otherwise Lead Member shall be solely and absolutely accountable to the Authority for the performance of all obligations under the Agreement.

- 9.2 The Consortium Members have agreed that Lead Member is the main point of contact between the Consortium Members and the Authority and it shall be primarily responsible for the discharge and administration of all the obligations contained herein. The Authority, unless it deems necessary shall deal only with the Lead Member. However, the Lead Member and consortium members shall be jointly and severally liable to the Authority. Notwithstanding the foregoing, the Lead Member would have the sole responsibility of ensuring the delivery of products and services mentioned in all volumes of this RFP and each consortium member will only be responsible/liable for their scope of work.
- 9.3 Without prejudice to the obligation of the Consortium Members to adhere to and comply with the terms of the Agreement, the Consortium Members have executed and submitted a power of attorney in favour of the Lead Member authorizing him to act for and on behalf of such members of the Consortium and to do all acts as may be necessary for fulfilment of obligations under the Agreement.
- 9.4 No agreement/contract executed within the consortium members be amended, modified and/or terminated without the prior written consent of the Authority. An executed copy of each of such agreements/contracts shall, immediately upon execution be submitted by SI to the Authority.
- 9.5 Where, during the term of the Agreement, Lead Member terminates any contract/arrangement or agreement relating to the performance of Services, Lead Member shall be responsible and liable for any consequences resulting from such termination. Lead Member shall in such case ensure the smooth continuation of Services by providing a suitable replacement to the satisfaction of the Authority at no additional charge and at the earliest opportunity.

10. SI's Obligations

- 10.1 SI's obligations shall include performance of all the Services as specified in the Scope of Work under Article [4].
- 10.2 4 of this Volume III and also under the other clauses of the RFP (Volume I, II and III), the Agreement and any amendments/changes thereof to enable the Authority to meet the objectives and operational requirements in the Agreement. It shall be SI's responsibility to ensure the proper and successful implementation, performance and continued operation of the proposed solution in accordance with and in strict adherence to the terms of its Bid, the RFP and the Agreement. In addition to the aforementioned, SI shall provide Services to manage and maintain the said system and infrastructure as mentioned in RFP Volume II.

- 10.3 SI shall ensure that the Services are performed through the efforts of SI's Team/Key Personnel and are in accordance with the terms hereof and to the satisfaction of the Authority. Nothing in this RFP or the Agreement will relieve SI from its liabilities or obligations under the RFP or the Agreement to provide the Services in accordance with the Authority's directions and requirements and as stated in the Agreement and the Bid to the extent acceptable by the Authority and SI shall be liable for any non-performance, non-compliance, breach or other loss and damage resulting either directly or indirectly by or on account of its team.
- 10.4 SI shall be fully responsible for development /installation/ deployment and integration of all the software and hardware components and for resolving any problems/issues that may arise due to integration of components.
- 10.5 In addition to the aforementioned, SI shall provide Services to manage and maintain the said system and infrastructure as mentioned in RFP Volume II.

11. Selection of SI's Key Personnel:

- 11.1 SI shall ensure that SI's Team/Key Personnel is/are competent, professional and possesses the requisite qualifications, skills and experience appropriate to the task they are required to perform under the Agreement.
- 11.2 The Authority reserves the right to interview and reject, if found unsuitable, the Key Personnel proposed by SI that shall be deployed as part of the Project team.
- 11.3 SI shall submit profiles of only those Key Personnel who are to be deployed on the Project.

12. Changes in SI's Key Personnel:

- 12.1 The Authority reserves the right to require changes in SI's Key Personnel, which shall be communicated to SI.
- 12.2 With the prior approval of the Authority, SI may make additions to the Project team. SI shall provide the Authority with the resume of the proposed Key Personnel and provide such other information as the Authority may reasonably require.
- 12.3 In case of change in SI's Key Personnel/team members, for any reason whatsoever, SI shall also ensure that the exiting team members are replaced with at least equally qualified and professionally competent members.
- 12.4 In case of change in its team members and for ensuring a smooth transition between an outgoing team member with a new team member, SI shall ensure a reasonable amount of time overlap in activities to ensure proper knowledge transfer and handover/takeover of documents and other relevant materials between the outgoing and the new member.

13. Exit of SI's Key Personnel:

- 13.1 SI shall ensure that none of the Key Personnel and manpower exit from the Project during the first 6 (six) months of the beginning of the Project. In cases where such exit

is unavoidable, SI shall replace such Key Personnel and manpower with a suitable replacement with prior written approval from the Authority.

14. Services provided by OEMs:

- 14.1 SI shall ensure that the OEMs supply all Goods, including associated accessories and software required for the execution of the Works and shall support SI in the installation, commissioning, integration and maintenance of these components during the entire period of Agreement.
- 14.2 SI shall ensure that the Commercially available Off-The-Shelf (COTS) products supplied by the OEMs support SI in the installation/deployment, integration, roll-out and maintenance of the software applications during the entire period of Agreement. It must clearly be understood by SI that O & M of the System, Products and Services incorporated as part of System would commence from the day of Go-Live of the respective phase (of urban solution) including all the solutions proposed.
- 14.3 SI would be required to explicitly display that it/they have a back-to-back arrangement for provisioning of warranty/O&M support till the end of Agreement period with the relevant OEMs. The annual maintenance support shall include patches and updates of the software, hardware components and other devices.

15. Software, Licenses obtained by SI

- 15.1 All the software licenses that SI proposes to obtain or use for the purposes of fulfilling its various obligations under the Agreement have to be genuine and should be perpetual in nature. The software licenses shall not be pirated or restricted based on location and the Authority should have the flexibility to use them for other requirements if necessary. All Applicable Permits/Approvals/software licenses shall be obtained by SI in the name of Authority only unless the Authority expressly agrees to give its consent in writing to do otherwise.
- 15.2 The Authority reserves the right to review the terms of the warranty and annual maintenance agreements entered into between SI and OEMs. If any such agreement /contract is executed, terminated and/ or amended / varied to the detriment of the Authority, then the Authority shall be informed and prior written consent of the Authority shall be taken for the agreements/ contracts, otherwise the authority shall have the right to consider this event as an " Event of default" of SI. The SI shall ensure that none of the components and sub-components is declared end-of-sale or end-of-support by the respective OEM at the time of submission of Bid. If the OEM declares any of the products/solutions end-of-sale subsequently, the SI shall ensure that the same is supported by the respective OEM for Agreement period.
- 15.3 If a product is de-supported by the OEM for any reason whatsoever, from the date of Acceptance of System till the end of Agreement, SI shall replace the products/solutions with an alternate that is acceptable to the Authority at no additional cost to the Authority and without causing any performance degradation.
- 15.4 SI shall ensure that the OEMs provide the support and assistance to SI in case of any problems/issues arising due to integration of components supplied by it with any

other component(s)/product(s) under the purview of the overall solution. If the same is not resolved for any reason whatsoever, SI shall replace the required component(s) with an equivalent or better substitute that is acceptable to Authority without any additional cost to the Authority and without impacting the performance of the solution in any manner whatsoever.

- 15.5 SI shall ensure that the OEMs shall provide for all hardware servers/equipment supply and/or installation of all types, updates, patches, fixes and/or bug fixes for the firmware or software from time to time at no additional cost to the Authority.
- 15.6 SI shall ensure that the OEMs for hardware, software, applications and other related equipment's/accessories or SI's trained engineers conduct the preventive maintenance on a quarterly basis and break-fix maintenance in accordance with the Good Industry Practices. SI shall ensure that the documentation and training services associated with the components shall be provided by the OEM partner or OEM's certified training partner without any additional cost to the Authority. The training mentioned shall be conducted using official OEM course curriculum, mapped with the hardware/software product(s) to be implemented in the Project.
- 15.7 SI and their Personnel/representative shall not alter/change/replace any hardware component proprietary to the Authority and/or under warranty or during operation and maintenance of third party without prior consent of the Authority.
- 15.8 SI shall keep and provide the required critical spares/components at the designated Data Centre Sites/Project locations/office locations of the Authority (Collectively "Facilities") for meeting any unforeseen eventuality and for ensuring the various compliances and obligations under the Agreement.

16. Powers of SI's representative(s)/Key Personnel:

- 16.1 SI's representative(s) shall have all the powers requisite for the execution of Scope of Work and performance of Services under the Agreement. SI's representative(s) shall liaise with the Authority's representative for the proper coordination and timely completion of the Works and on any other matters pertaining to the Works.
- 16.2 SI's representative(s) shall extend full co-operation to Authority's representative in the manner required by them for supervision/inspection/observation of the equipment/goods/material, procedures, performance, progress, reports and records pertaining to the works. SI shall also have complete charge of SI's Team engaged in the performance of the Works and to ensure compliance of rules, regulations and safety practice. SI's representative(s) shall also cooperate with the other service providers/vendors of the Authority working at the Authority's office locations & field locations and DC Site. Such SI's representative(s) shall be available to the Authority's Representative at respective Data Centre/ICCC/ Project office during the execution of Works.
- 16.3 SI shall be responsible on an ongoing basis for coordination with other vendors and agencies of the Authority in order to resolve issues and oversee implementation of the same. SI shall also be responsible for resolving conflicts between vendors in case of borderline integration issues.

17. Setting up of Project Office

- 17.1 SI shall set up a Project Office at Puducherry. The technical manpower deployed on and necessary at the Project Office for the execution of the Works shall work from

the said Project Office. However, some work may be carried out by SI from its other offices during the Agreement period.

18. Access to Sites

- 18.1 Sites would include Data Centre's Server Room and ICCC.
- 18.2 The Authority's representative upon receipt of request from SI intimating commencement of activities at various locations shall give to SI access to as much of the DC Sites as may be necessary to enable SI to commence and proceed with the installation of the Works in accordance with the Program of Work or for performance of O&M services. Any reasonable proposal of SI for access to DC Site to proceed with the installation of any Works in accordance with the Program of Work shall be considered for approval and shall not be unreasonably withheld by the Authority. Such requests shall be made to the Authority's representative in writing at least 7 (seven) days prior to start of the Work.

19. Commencement of Installation

- 19.1 SI shall co-ordinate with the Authority and stakeholders for setting up of ICCC as per Scope of Work mentioned in RFP Volume II document.
- 19.2 As per guidelines of Telecom Regulatory Authority of India (TRAI), resale of bandwidth connectivity is not allowed. In such a case tripartite agreement should be entered into between the Authority, SI and internet/Network service provider(s). Tri partite agreement to be provided later.
- 19.3 The plan and design documents thus developed shall be submitted by SI for approval by the Authority.
- 19.4 After obtaining the approval from the Authority, SI shall commence the installation of products.

20. Reporting Progress

- 20.1 SI shall monitor progress of all the activities related to the execution of the Agreement and shall submit to the Authority progress reports with reference to all related work, Milestones and their progress during the implementation phase.
- 20.2 Formats for all above mentioned reports and their dissemination mechanism shall be discussed and finalized along with Project Plan. The Authority on mutual agreement between both Parties may change the formats, periodicity and dissemination mechanism for such reports.
- 20.3 Periodic meetings shall be held between the representatives of the Authority and SI once in every 15 days during the implementation phase to discuss the progress of implementation. After the implementation phase is over, the meeting shall be held as an ongoing basis, as desired by Authority, to discuss the performance of the Agreement.
- 20.4 SI shall ensure that the respective solution teams involved in the execution of Works are part of such meetings.
- 20.5 Several review committees involving representative of the Authority and senior officials of SI shall be formed for the purpose of the Project. These committees shall meet at regular intervals, as decided by the Authority at a later stage, to oversee the progress of the implementation of the Project.

20.6 All the Goods, Services and manpower to be provided / deployed by SI under the Agreement and the manner and speed of execution and maintenance of the Work and Services are to be conducted in a manner to the satisfaction of Authority's representative in accordance with the Agreement.

21. Inspection by the Authority:

21.1 The Authority reserves the right to inspect and monitor/assess the progress/performance of the Works/Services/Project at any time during the course of the Agreement. The Authority may demand and upon such demand being made, SI shall provide documents, data, material or any other information which the Authority may require, to enable it to assess the progress/performance of the Works/Services/Project.

22. Monitoring of SI's performance:

22.1 At any time during the course of the Agreement, the Authority shall have the right to conduct, either itself or through another agency as it may deem fit, an audit to monitor the performance by SI of its obligations/functions in accordance with the standards committed to or required under the Agreement and SI undertakes to cooperate with and provide to the Authority or to the said agency any Document(s) and other details as may be necessary/required by them for this purpose. Such audit shall not include 'SI's books of accounts.

22.2 Should the rate of progress of the Works or any part of it, at any time falls behind the stipulated time for completion of any Milestone related to the Works or is found to be too slow to ensure completion of the Works by the stipulated time, or is in deviation to Tender requirements/standards, the Authority's representative shall so notify SI in writing.

22.3 SI shall send reply to the written notice giving details of the measures it proposes to take to expedite the progress so as to complete the Works by the prescribed time or to ensure compliance to RFP requirements/Agreement. SI shall not be entitled to any additional payment for taking such steps. If at any time it should appear to the Authority or Authority's representative that the actual progress of the Works does not conform to the Approved Plan, SI shall produce at the request of the Authority's representative a revised Plan showing the modification to the Approved Plan necessary to ensure completion of the Works within the time for completion or steps initiated to ensure compliance to the stipulated requirements

22.4 The submission seeking approval by the Authority or its representative of such Plan shall not relieve SI of any of its obligations or responsibilities under the Contract.

22.5 In case during execution of Works, the progress falls behind schedule or does not meet the Tender requirements, SI shall deploy extra manpower/resources to make up the progress or to meet the RFP/Agreement requirements. Plan for deployment of extra manpower/resources shall be submitted to the Authority for its review and approval. All time and cost effect in this respect shall be borne, by SI within the Agreement value.

23. Knowledge of Data Centre's Server Room and ICCC

23.1 The Authority shall grant SI access to the Data Centre's Server Room and ICCC for inspection of such facilities before commencement of installation. Upon such inspection a plan shall be drawn up mutually by the Parties.

- 23.2 SI shall be deemed to have familiarized itself with the knowledge of the Data Centre's Server Room, /ICCC and its surroundings and information available in connection therewith and to have satisfied itself the form and nature thereof including, the data contained in the Bidding Documents, the physical and climatic conditions, the quantities and nature of the Works and materials necessary for the completion of the Works, the means of access, etc. and in general to have obtained itself all necessary information of all risks, contingencies and circumstances affecting its obligations and responsibilities therewith under the Agreement and its ability to perform it. However, if during pre-installation survey/during delivery or installation, SI detects physical conditions and/or obstructions affecting the Work, SI shall take all measures to overcome them.

24. Project Plan

- 24.1 Within seven calendar days of Effective Date of the Agreement/issuance of letter of intent/ Letter of Award, SI shall submit to the Authority for its approval a detailed Project Plan with details of the Project showing the sequence, procedure and method in which it proposes to carry out the Works. The Plan so submitted by SI shall conform to the requirements and timelines specified in the Agreement. The Authority and SI shall discuss and agree upon the work procedures to be followed for effective execution of the Works, which SI intends to deploy and shall be clearly specified. The Project Plan shall include but not be limited to Project organization, communication structure, proposed staffing, roles and responsibilities, processes and tool sets to be used for quality assurance, security and confidentiality practices in accordance with Good Industry Practices and delivery schedule in accordance with the Agreement. Approval by the Authority's Representative of the Project Plan shall not relieve SI of any of its duties or responsibilities under the Agreement.
- 24.2 If SI's Work Plans necessitate a disruption/shutdown in Authority's operation, the Plan shall be mutually discussed and developed so as to keep such disruption/shutdown to the barest unavoidable minimum. Any time and cost arising due to failure of SI to develop/adhere such a Work Plan shall be to its account.

25. Adherence to safety procedures, rules regulations and restriction

- 25.1 SI's Team shall comply with the provision of all Applicable Laws including labour laws, rules, regulations and notifications issued there under from time to time. All safety and labour laws enforced by statutory Government Agencies and by Authority shall be applicable in the performance of this Agreement and SI's Team shall abide by these Applicable Laws.
- 25.2 Access to the Data Centre's Server Room, ICCC shall be strictly restricted. No access to any person except the essential members of SI's Team who are duly authorized by the Authority and are genuinely required for execution of the Works or for carrying out management/maintenance shall be allowed entry. Even if access is required to be provided to such unauthorized personnel of SI, the same shall be with prior approval of Authority's Representative and restricted to the pertaining equipment of the Authority on a need basis only. SI shall maintain a log of all activities carried out by each of its team/ Key Personnel.
- 25.3 No staff of SI, except the essential staff who have genuine work-related need, should be given access to the facilities. All such access should be logged in a loss free manner for permanent record with unique biometric identification of the staff to avoid misrepresentations or mistakes.

- 25.4 SI shall take all measures necessary or proper to protect its Key Personnel, Work and facilities and shall observe all reasonable safety rules and instructions. SI's Team shall adhere to all security requirement/regulations of the Authority during the execution of the Work. Authority's employees shall also be required to comply with safety procedures/policy.
- 25.5 SI shall report as soon as possible any evidence, which may indicate or is likely to lead to an abnormal or dangerous situation related to the Works/Project and shall take all necessary emergency control steps to avoid such abnormal situations.

26. Statutory Requirements

- 26.1 During the tenure of the Agreement nothing shall be done by SI or its team including Consortium Members in contravention of Applicable Laws or any amendment thereof governing inter-alia customs, stowaways, foreign exchange etc. and shall keep Authority indemnified in this regard.

27. Authority's Obligations

- 27.1 Authority or its nominated representative shall act as the nodal point for implementation of the Agreement and for issuing necessary instructions, approvals, commissioning, acceptance certificates, payments etc. to SI.
- 27.2 Authority shall ensure that timely approvals are provided to SI as and when required, which may include approval of Project Plans, implementation methodology, design documents, specifications, or any other document necessary in fulfilment of the Agreement.
- 27.3 The Authority's representative shall interface with SI, to provide the required information, clarifications, and to resolve any issues as may arise during the execution of the Agreement. Authority shall provide adequate cooperation in providing details, coordinating and obtaining of approvals from various governmental agencies, in cases, where the intervention of the Authority is proper and necessary.
- 27.4 Authority may provide on SI's request, particulars/information/or documentation that may be required by SI for proper planning and execution of the Works and for providing Services covered under the Agreement and for which SI may have to coordinate with respective vendors.
- 27.5 Authority shall provide to SI only sitting space and basic infrastructure not including, stationery and other consumables at the Authority's office locations.
- 27.6 Readiness of the Project site: Authority hereby agrees to make the Project sites ready as per the agreed specifications, within the agreed timelines. Authority agrees that SI shall not be in any manner liable for any delay arising out of Authority's failure to make the site ready within the stipulated period.

28. Payments

- 28.1 Authority shall make payments to SI at the times and in the manner set out in the Payment schedule as specified under Payment Milestones in RFP Volume II subject to the penalties as mentioned under Article 59 and 60 of Section C- Service Levels of Volume III.
- 28.2 All payments agreed to be made by Authority to SI in accordance with the Bid shall be inclusive of all statutory levies, duties, taxes and other charges whenever

levied/applicable, if any, and Authority shall not be liable to pay any such levies/other charges under or in relation to the Agreement and/or the Services.

- 28.3 No invoice for extra work/change order on account of change order shall be submitted by SI unless the said extra work/change order has been authorized/approved by the Authority in writing in accordance with Change Control Note (as mentioned under Annexure I of this volume of the RFP)
- 28.4 In the event of Authority noticing at any time that any amount has been disbursed wrongly to SI or any other amount is due from SI to the Authority, the Authority may without prejudice to its rights recover such amounts by other means after notifying SI or deduct/adjust such amount from any payment falling due to SI. The details of such recovery, if any, shall be intimated to SI. Similarly, SI shall also be entitled to receive the payment of any undisputed amount under subsequent invoice for any amount that has been inadvertently omitted in previous invoice on the part of the Authority or SI.
- 28.5 All payments to SI shall be subject to the deductions of tax at source under Income Tax Act, and other taxes and deductions as provided for under Applicable Laws. All costs, damages or expenses which Authority may have paid or incurred, for which under the provisions of the Agreement, SI is liable, the same shall be deducted/set off by Authority from any payments/dues payable to SI. All payments to SI shall be made after making necessary deductions as per terms of the Agreement and recoveries towards facilities, if any, provided by the Authority to SI on chargeable basis.

29. Intellectual Property Rights

- 29.1 Except for any ownership rights in any intellectual property that have been expressly granted to the SI under the Agreement, the Authority shall exclusively retain all rights, title and interest in and to any third-party licensed technology, including all worldwide technology and Intellectual Property Rights which has been used for the Project.
- 29.2 Preservation of notice: SI shall not remove, efface or obscure any copyright notices or other proprietary notices or legends from any licensed technology or materials provided under the Agreement, and shall reproduce all such notices and legends when incorporating licensed technology or materials into any integrated products.
- 29.3 Authority shall exclusively own and have a right in perpetuity to use all newly created Intellectual Property Rights which have been developed solely during execution of the Agreement, including but not limited to all processes, software, technology, processes, methodologies, process improvements, ideas, concepts, products, specifications, reports and other documents which have been newly created and developed by SI or its Subcontractor solely during the performance of Services/execution of the Agreement (hereinafter "Developed Materials") and for the purposes of inter-alia use during the Project. SI shall have no rights in such Developed Materials and undertakes to promptly disclose to the Authority all such Intellectual Property Rights/Developed Materials created during the performance of the Services/Works. SI shall promptly assign, completely and in writing to Authority any such Developed Materials and shall execute all such agreements/documents and obtain all permits and approvals that may be necessary to perfect Authority's rights in the Developed Materials. It is a fundamental provision of the Agreement that SI will not violate or breach any Intellectual Property Rights of the Authority. Should SI use or provide unauthorized access to the Developed Materials or breach

any of the confidentiality of these Developed Materials, the Authority shall have the right to terminate the Agreement forthwith and seek injunctive and other equitable reliefs.

- 29.4 Pre-existing work: All Intellectual Property Rights existing prior to the Effective Date of the Agreement shall belong to the Party that owned such rights immediately prior to the Effective Date. Subject to the foregoing, the Authority will also have rights to use and copy all Intellectual Property Rights, process, specifications, reports and other document, drawings, manuals etc. provided or used by the SI / Consortium / Sub-Contractors as part of the Scope of Works under the Agreement for the purpose of the Agreement on non-exclusive, non-transferable, perpetual, royalty-free license to use basis.
- 29.5 Commercially off the Shelf (COTS): / third party products: All COTS products and related solutions and fixes provided pursuant to the Agreement shall be licensed according to the terms of the license agreement packaged with or otherwise applicable to such products. Such licenses shall be brought on behalf of and in the name of the Authority or mentioning the Authority as the end user of such licenses. SI shall be responsible for arranging any licenses associated with products. Unless otherwise specifically restricted by the licensing terms of the COTS products, all Intellectual Property Rights in any development/enhancement/customization etc. done on the COTS products pursuant to the Agreement shall be owned by the Authority.
- 29.6 Further, the SI shall be obliged to ensure that all Applicable Permits which are, inter-alia, necessary for use of the Deliverables, Goods, Services, applications works etc. provided/undertaken by the SI / Consortium / Sub-Contractors under the Agreement shall be acquired in the name of the Authority and to use such permits till the term of such permits on behalf of the Authority solely for the purpose of execution of any of its obligations under the terms of the Agreement. However, even subsequent to the Term/expiry of the Agreement, such Approvals/Applicable Permits shall endure to the exclusive benefit of the Authority.
- 29.7 SI shall not copy, reproduce, translate, adapt, vary, modify, disassemble, decompile or reverse engineer or otherwise deal with or cause to reduce the value of the Products except as expressly authorized by Authority in writing.
- 29.8 In the event SI's Intellectual Property Rights are embedded in the Deliverables, SI grants to Authority a non-exclusive, non-transferable, irrevocable, royalty free and perpetual license for the Authority's internal use of the same as part of the Deliverables in which they are embedded. Nothing contained in this Agreement shall be construed to grant the Authority any right to use or exploit such SI's Intellectual Property Rights in its stand-alone form separate and apart from the Deliverables.

30. Taxes

- 30.1 SI shall bear all personal taxes levied or imposed on its Personnel, or any other member of SI's Team, etc. on account of payment received under the Agreement. SI shall bear all corporate taxes, levied or imposed on SI on account of payments received by it from the Authority for the Work done/Services provided under the Agreement.
- 30.2 SI shall bear all outgoings, cess, taxes (including municipal taxes), levies, import duties, fees (including any license fees) rates and other user charges (including those applicable for existing utility connections and any other dues, assessments or

outgoings payable in respect of implementation of the Project, (including new utility connections obtained by it, if any) or in respect of the materials stored therein which may be levied by any Government Authority as may be levied or imposed on SI under or in relation to the Agreement and under the Applicable Laws including but not limited to Goods & Services Tax (GST) (including any IGST,CGST & SGST) and all Income Tax levied under Indian Income Tax Act – 1961 or any amendment thereof during the entire Agreement period and thereafter till such time the liability relates to SI's obligation under the Agreement, i.e., on account of Goods supplied and Services rendered and payments received by it from the Authority under the Agreement. It shall be the responsibility of SI to submit to the concerned Indian authorities the returns and all other connected documents required for this purpose. SI shall also provide the Authority such information, as it may be required in regard to SI's details of payment made by the Authority under the Agreement for proper assessment of taxes and duties as may be imposed under Applicable Laws. The amount of tax withheld by the Authority shall at all times be in accordance with Indian Tax Law or any other Government Agency and the Authority shall promptly furnish to SI original certificates for tax deduction at source and paid to the Tax authorities.

- 30.3 SI agrees that it shall comply with the Indian Income Tax Act or any other Applicable Laws in force from time to time and pay Indian Income Tax or other applicable taxes and duties, as may be imposed/levied on them by the Indian Income Tax Authorities/Government Authorities, for the payments received by them for the Works performed under the Agreement.
- 30.4 SI shall fully familiarize themselves about the taxes applicable to the Bidders under Applicable Laws on the amounts payable by the Authority to them under the Agreement. All such taxes must be included by Bidders in their financial proposal. (Bidder to find out applicable taxes for the components being proposed.)
- 30.5 Should SI fail to submit returns/pay taxes in times as stipulated under applicable Indian/State Tax Laws, and consequently, any interest or penalty is imposed by the concerned authority on Authority/SI, SI shall bear the same. SI shall indemnify Authority from and against any and all claims, liabilities, losses or damages arising out of the Agreement or in connection with such taxes, including interest and penalty levied/assessed by any such tax authority against the Authority/SI.
- 30.6 The goods and services tax (GST) on Works (central or state) if levied on supplies made from indigenous vendors for the Works shall be borne by SI within the Agreement Value.
- 30.7 The Authority shall if so, required by Applicable Laws in force, at the time of payment, deduct income tax payable by SI at the rates in force, from the amount due to SI and pay to the concerned tax authority directly.

31. Indemnity

- 31.1 The SI hereby indemnifies and agrees and undertakes that from the Effective Date and thereafter during the Term and even after expiry of the Term, it shall keep indemnified and otherwise saved and harmless the Indemnified Parties from and against any and all third party claims for Liabilities, demands made against and/or loss caused and/or the damages suffered and/or cost, charges/expenses incurred or put to and/or penalty levied and/or any claim due to injury or death of any person and/or loss or damage caused or suffered to any property owned or

belonging to Authority, their agents and employees or third party as a result of any acts, deeds or thing done or omitted to be done by SI (or any personnel, agent, representative, or Sub-Contractors thereof) or on the failure of the SI to perform any of its statutory duty and/or obligations or failure or negligence on the part of SI to comply with any applicable Laws applicable to the SI as an IT Service Provider or applicable Permits or as a consequence of any notice, show cause notice, action, suit or proceedings, given, initiated, filed or commenced by any third party (including end users or Government Authority) or as a result of any failure or negligence or default of the SI or the Sub-Contractors and/or their invitees as the case may be, in connection with or arising out of the Agreement or arising out of or in connection with SI's use and occupation of the Site located thereon. Notwithstanding anything to the contrary contained herein, in no event shall any of the Indemnified Parties be liable to indemnify the SI for any matter arising out of or in connection with the Agreement in respect of any indirect or consequential loss, including loss of profit, suffered by the SI.

- 31.2 The indemnity provisions herein and under the Agreement shall survive expiry or earlier termination of the Agreement.

32. Notice and Contest of Claims/Demands

- 32.1 In the event that any Party hereto receives claims or demands from a third party in respect of which it is entitled to the benefit of an indemnity under Article 31 or in respect of which it is entitled to reimbursement (the "Indemnified Party"), it shall notify the other Party responsible for indemnifying such claim hereunder (the "Indemnifying Party") within 15 (fifteen) days of receipt of the claim and/or shall not settle or pay the claim/ demand without the prior approval of the Indemnifying Party, which approval shall not be unreasonably withheld or delayed. In the event that the Indemnifying Party wishes to contest or dispute the claim, it may conduct the proceedings in the name of the Indemnified Party and at its (Indemnifying Party's) risk, costs and expense. The Indemnified Party shall provide all cooperation and assistance in contesting any claim and shall sign all such writings and documents as the Indemnifying Party may reasonably require.

33. Representations and Warranties

- 33.1 Representations and Warranties of SI: The SI hereby represents and warrants to Authority that as on the Effective Date (which representations and warranties shall be continuing representations and warranties and deemed to have been repeated on each day of the term of the Agreement):
- 33.1.1 It is duly organized and validly existing under the laws of India and that it has been in continuous existence since incorporation;
- 33.1.2 It has full power and authority to execute, deliver and perform its obligations under the Agreement and to carry out the Project;
- 33.1.3 It has taken all necessary corporate and other actions under Applicable Laws and its Memorandum and Articles of Association to authorize the execution, delivery and performance of its obligations under the Agreement;
- 33.1.4 It has complied with all Applicable Laws and has not been subject to any fines, penalties, injunctive relief or any other civil or criminal liabilities, or any order, writ, injunction or decree of any court or any legally binding order of any

governmental authority, which in the aggregate have or may have Material Adverse Effect on its ability to perform its obligations and duties under the Agreement and undertake the Project in terms of the Agreement;

- 33.1.5 It has the technical and financial standing and capacity to undertake and complete the Project;
- 33.1.6 All the employees, officials, personnel, agents, contractors and/ or Sub-Contractors utilized/ proposed to be by the SI for the purposes of the Project, possess/ shall possess the relevant technical and financial standing and capacity to undertake and complete the Project;
- 33.1.7 The obligations under the Agreement shall be legally valid, binding and enforceable obligations against it in accordance with the terms hereof;
- 33.1.8 The information furnished in the Bid by the SI (and as updated on before the date of the Agreement) is true and accurate in all respects;
- 33.1.9 The execution, delivery and performance of the Agreement, does not and will not conflict with, or result in the breach of, or constitute a default under, or affect performance required by any of the provisions of its Memorandum and Articles of Association or any Applicable Laws or any covenant, agreement, understanding, decree or order to which it is a party or by which it or any of its properties or assets are bound or affected;
- 33.1.10 There are no actions, suits, proceedings or investigations pending, or, to the best of the SI's knowledge, threatened against it before any court or before any judicial, quasi-judicial or other authority, the outcome of which may result in the breach of or constitute a default of the SI under the Agreement or which individually or in the aggregate may result in any Material Adverse Effect on its business, properties, assets or its condition, financial or otherwise, or in any impairment of its ability to perform its obligations under the Agreement;

34. Design Warranties

- 34.1.1 Without prejudice to the generality of the foregoing provisions of this Article 33, the SI represents and warrants that all work performed by the SI and Sub-Contractor shall be executed with due care and diligence, in conformity with the Agreement and free of defects and deficiencies, including that:
- 34.1.2 The design and engineering of the Project shall satisfy the minimum requirements set forth in the Agreement, and shall be free of defects and deficiencies. Such engineering and design shall be such that the Project shall function properly in accordance with the terms of the Agreement and the Specifications and shall meet all design, engineering, safety, and operability criteria as specified in the Agreement;
- 34.1.3 The Project shall be in accordance with the designs, drawings and Specifications prepared in accordance herewith and approved by Authority, in accordance with the terms hereof, and all workmanship of the SI and Sub-Contractors shall be in full conformity with the requirements of the Agreement and free of defects and deficiencies (including latent defects and deficiencies);
- 34.1.4 All plant, equipment and materials supplied under the Agreement shall be new, non-refurbished, unused and recently manufactured; shall not be nearing end of sale/End of support; and shall be supported by the SI and respective OEM along with Service and spares support to ensure its efficient and effective operation for the entire duration of the Agreement. They shall be in full conformity with the Specifications and other requirements of the Agreement,

shall be of specified quality and where quality is not specified then of suitable quality for the purposes and uses intended and shall be free of defects and deficiencies (including latent defects);

- 34.1.5 Without prejudice to the generality of the foregoing, the entire Project shall be designed, engineered, constructed, and otherwise implemented and developed so as to ensure that the Assets and the Project Utilities, meet the Design Life.
- 34.1.6 The SI's obligation to design, engineer, procure and construct the Project correctly and in accordance with the Agreement and its warranties set forth above shall not be reduced or affected by Authority's approval or grant of NOC, in respect thereof, including for any designs, plans, phasing, drawings or specifications thereof.
- 34.1.7 All Goods supplied by the SI under the Agreement shall be maintained through Annual Maintenance Contracts (AMC), with the original equipment manufacturer (OEM), outlining regular check-ups and routine work to regulate the performance and quality output. SI shall enter into such AMCs for an efficient upkeep of the equipment and installations. SI will indemnify the Authority that all the machines and equipment will remain functional during the contractual period.
- 34.1.8 Technical support for entire system shall be provided by SI/ the respective OEMs for the period of Agreement. The technical support shall also include all upgrades, updates and patches to the software applications.
- 34.1.9 The SI further warrants that the Goods supplied under the Agreement shall be free from all encumbrances and defects/faults arising from design, material, manufacture or workmanship (except insofar as the design or material is required by the Authority's specifications) or from any act or omission of the SI, that may develop under normal use of the supplied Goods in the conditions prevailing at the respective Data Centre Sites/ city locations.
- 34.1.10 The Authority shall promptly notify the SI in writing of any claims arising under this warranty.
- 34.1.11 Upon receipt of such notice, the SI shall, with all reasonable speed, repair or replace the defective Goods or parts thereof, without prejudice to any other rights which the Authority may have against the SI under the Agreement.
- 34.1.12 If the SI, having been notified, fails to remedy the defect(s) within a reasonable period, the Authority may proceed to take such remedial action as may be necessary, at the SI's risk and expense and without prejudice to any other rights which the Authority may have against the SI under the Agreement.

35. Representations & Warranties of Authority

- 35.1.1 Authority hereby represents and warrants to the SI that as on the Effective Date
- 35.1.2 It is duly organized and validly existing under the laws of India and has been in continuous existence since its constitution;
- 35.1.3 It has full power and authority to execute, deliver and perform its obligations under the Agreement;
- 35.1.4 Authority has power and authority to grant the Lease Rights under and pursuant to this Development Agreement;

- 35.1.5 It has taken all necessary actions under Applicable Laws to authorize the execution, delivery and performance of the Agreement;
- 35.1.6 The obligations of Authority under the Agreement will be legally valid, binding and enforceable against Authority in accordance with the terms of the Agreement;
- 35.1.7 It has no knowledge of any violation or default with respect to any order, writ, injunction or any decree of any court or any legally binding order of any Government Authority which may result in any Material Adverse Effect or impairment of Authority's ability to perform its obligations and duties under the Agreement;
- 35.1.8 To the best of Authority's knowledge and belief, there are no actions, suits, proceedings or investigations pending against it, before any court or Government Authority in relation to the Project, the outcome of which may result in the breach of or constitute a default of Authority under the Agreement, or result in impairment of Authority's ability to perform its obligations and duties under the Agreement.

36. Disclosure

- 36.1.1 In the event at any time after the date hereof, any event or circumstance comes to the attention of SI that renders any of its abovementioned representations or warranties untrue, inaccurate or incorrect, then such Party shall immediately notify the Authority of the same. Such notification shall not have the effect of (a) remedying any breach of the representation or warranty that has been found to be untrue, inaccurate or incorrect; or (b) adversely affecting the rights of Authority or releasing any obligation of SI under the Agreement.

37. Term and Extension of the Agreement

- 37.1 The Agreement Term/period shall commence from the date of signing of Agreement or issuance of letter of intent/letter of award, whichever is earlier, and shall remain valid for 60 (Sixty) months from the date of start of O&M of the final phase of implementation milestone as defined in Section 2.4 of Part 1, Volume II, (hereinafter "Term"). SI shall complete all Works stipulated under the Agreement within the time period specified under this Article.
- 37.2 If any delay occurs due to circumstances beyond control of SI such as strikes, lockouts, fire, accident, defective materials, delay in obtaining Applicable Permits/Approvals or any cause whatsoever beyond the reasonable control of SI, a reasonable extension of time/ Term, upon a request being made by SI in writing at least three months in advance shall be granted by the Authority in writing.
- 37.3 Notwithstanding what has been stated under Article 34.2, the Authority shall reserve the sole right to grant any such extension to the Term above mentioned and shall notify in writing to SI, at least 3 (three) months before the expiration of the Term hereof, whether it shall grant SI an extension of the Term or not. The decision to grant or refuse the extension of the Term shall be at the Authority's sole discretion and such extension of the Agreement, if any, shall be as per terms agreed mutually between the Parties.
- 37.4 Where the Authority is of the view that no further extension of the Term should be granted to SI, the Authority shall notify SI of its decision at least 3 (three) months prior to the expiry of the Term. Upon receipt of such notice, SI shall continue to perform all its obligations hereunder till the duration of the Term. During the notice period,

the Authority shall either appoint an alternative agency/Replacement Service Provider/reappoint SI for a short extension or create its own infrastructure to operate such Services as are provided under the Agreement.

- 37.5 In the event of any failure or delay by Authority to hand over the right of way to the Site or Approvals to the SI, such failure or delay shall in no way affect or vitiate the Agreement or alter the character thereof or entitle the SI to damages or compensation thereof, but in any such case, Authority may grant such extension or extensions of the Completion Date, as may be considered reasonable.

38. Dispute Resolution

- 38.1 In case, a dispute is referred to arbitration, the arbitration shall be under the Indian Arbitration and Conciliation Act, 1996 and any statutory modification or re-enactment thereof.
- 38.2 If during the subsistence of the Agreement or thereafter, any dispute between the Parties hereto arising out of or in connection with the validity, interpretation, implementation, breach or any alleged breach of any provision of the Agreement or regarding any question, including as to whether the termination of the Agreement by one Party hereto has been legitimate/valid, the Parties hereto shall endeavour to settle such dispute amicably through joint discussion and/or by Conciliation to be governed by the Arbitration and Conciliation Act, 1996. However, despite such efforts, if the dispute, differences or controversy still remains unresolved for a period of 30 days of its having been raised, then the same shall be referred to Arbitration.
- 38.3 The Arbitration proceedings shall be held in the following manner:
- i. The Arbitration proceedings shall be held in Delhi, India.
 - ii. The Arbitration proceeding shall be governed by the Arbitration and Conciliation Act, 1996 and any re-enactment(s) and/or modification(s) thereof and of the Rules framed thereunder shall apply to arbitration proceedings.
 - iii. The proceedings of Arbitration shall be in English language.
 - iv. Any dispute, difference or question to be referred to arbitration shall be initially referred to a mutually acceptable sole arbitrator. In case the Parties are unable to agree upon the sole arbitrator, then each Party shall appoint one arbitrator each and the two arbitrators so appointed shall appoint the third arbitrator, who shall be the Presiding Arbitrator. The consortium of the three Arbitrators shall form the Arbitral Tribunal.
 - v. In case, a Party fails to appoint an arbitrator within 30 days from the receipt of the request to do so by the other Party or if the two Arbitrators so appointed fail to agree on the appointment of third Arbitrator within 30 days from the date of their appointment upon request of a party, the Chief Justice of the New Delhi High Court or any person or institution designated by him shall appoint the Arbitrator/Presiding Arbitrator upon request of one of the Parties.
 - vi. Any letter, notice or other communications dispatched to SI relating to either arbitration proceeding or otherwise whether through the post or through a representative on the address last notified to the Authority by SI shall be deemed to have been received by SI although returned with the remarks, refused 'undelivered' where about not known or words to that effect or for any other reasons whatsoever.

- vii. If the Arbitrator so appointed dies, resigns, incapacitated or withdraws for any reason from the proceedings, it shall be lawful for the Authority to appoint another person in his place in the same manner as aforesaid. Such person shall proceed with the reference from the stage where his predecessor had left if both Parties consent for the same; otherwise, he shall proceed de novo.
- viii. It is a term of the Agreement that the Party invoking arbitration shall specify all disputes to be referred to arbitration at the time of invocation of arbitration and not thereafter.
- ix. It is also a term of the Agreement that neither Party to the Agreement shall be entitled for any interest on the amount of the award.
- x. The Arbitrator shall give reasoned award and the same shall be final, conclusive and binding on the Parties.
- xi. The fees of the arbitrator, costs and other expenses incidental to the arbitration proceedings shall be borne equally by the Parties.

39. Conflict of interest

- 39.1 SI shall disclose to the Authority in writing, all actual and potential conflicts of interest that exist, arise or may arise (either for SI or SI's Team) in the course of providing Goods and performing the Works/Services as soon as practical after it becomes aware of that conflict.

40. Publicity

- 40.1 SI shall not make or permit to be made a public announcement or media release about any aspect of this Agreement unless the Authority first gives SI its written consent.

41. Force Majeure

41.1 The SI or Authority, as the case may be, shall be entitled to initially suspend the performance of its respective obligations under the Agreement to the extent that the SI or Authority, as the case may be, is unable to render such performance due to a Force Majeure Event.

41.2 In the Agreement, no event or circumstance and/or no combination of events and circumstances shall be treated as a Force Majeure Event unless it satisfies all the following conditions

- a) materially and adversely affects the performance of an obligation;
- b) are beyond the reasonable control of the affected Party;
- c) such Party could not have prevented or reasonably overcome with the exercise of Good Industry Practice or reasonable skill and care;
- d) do not result from the negligence or misconduct of such Party or the failure of such Party to perform its obligations hereunder; and
- e) which, by itself or consequently, has an effect described in Article 38.1.

41.3 "**Force Majeure Event**" includes the following events and/ or circumstances to the extent that they or their consequences satisfy the requirements set forth in Article 38.2:

- a) war (whether declared or undeclared), invasion, armed conflict or act of foreign enemy in each case involving or directly affecting the Project Land;
- b) revolution, riot, insurrection or other civil commotion, act of terrorism or sabotage in each case within the Project Land or near vicinity;
- c) nuclear explosion, radioactive or chemical contamination or ionizing radiation directly affecting the Project Land and/or the Assets, unless the source or cause of the explosion, contamination, radiation or hazardous thing is brought to or near the Project Land by the Developer or any Affiliate of the Developer or any Sub-Contractor of the Developer or any of their respective employees, servants or agents;
- d) strikes, working to rule, go-slows and/or lockouts which are in each case widespread, nationwide or political and affects the Project Land;
- e) any effect of the natural elements, including lightning, fire, earthquake, unprecedented rains, tidal wave, flood, storm, cyclone, typhoon or tornado, within the Project Land or near vicinity;
- f) explosion (other than a nuclear explosion or an explosion resulting from an act of war) within the Project Land or near vicinity;
- g) epidemic or plague within the Project Land or near vicinity; and
- h) any event or circumstances of a nature analogous to any events set forth in Article 38.3 (a) to Article 38.3 (g) within the Site or near vicinity.

It is clarified that non-availability of any plant, equipment, materials or financial resources for any reason whatsoever shall not be deemed to be an event of Force Majeure.

- 41.4 Force Majeure shall not include any events caused due to acts/omissions of SI resulting in a breach/contravention of any of the terms of the Agreement and/or SI's Bid. It shall also not include any default on the part of SI due to its negligence or failure to implement the stipulated/proposed precautions, as were required to be taken under the Agreement.
- 41.5 In such an event, the affected Party shall inform the other Party in writing within 5 (five) days of the occurrence of such event. Any failure or lapse on the part of SI in performing any obligation as is necessary and proper, to negate the damage due to projected Force Majeure Events or to mitigate the damage that may be caused due to the above-mentioned events or the failure to provide adequate disaster management/recovery or any failure in setting up a contingency mechanism would not constitute Force Majeure, as set out above.
- 41.6 In case of a Force Majeure Event, all Parties shall endeavour to agree on an alternate mode of performance in order to ensure the continuity of the Service/ Works and implementation of the obligations of a Party under the Agreement and to minimize any adverse consequences of Force Majeure.
- 41.7 If at any time, during the Term, the performance in whole or in part by either Party of any obligation under the Agreement is prevented or delayed by reason of any Force Majeure Event, and notice of the happening of any such event is given by the affected Party to the other Party in accordance with Article 38, neither Party shall by reason of such event, be entitled to terminate the Agreement nor shall either Party have any claim for damages against the other in respect of such non-performance or delay in performance and the Project (or the parts so affected) due to such Force Majeure Event and the Agreement shall be resumed as soon as

practicable after such event has come to an end or ceased to exist and the decision of the Authority as to whether the Project have been so resumed or not shall be final and conclusive.

42.Delivery

- 42.1 SI shall bear the cost for packing, transport, insurance, storage and delivery of all the Goods for implementation of the Project in Puducherry" at all locations identified by the Authority in Puducherry.
- 42.2 The Goods under the Agreement shall conform to the standards mentioned in the RFP, and when no applicable standard is mentioned, to the authoritative standards, such standard shall be approved by Authority.
- 42.3 SI shall only procure the hardware and software after approvals from a designated committee/Authority.
- 42.4 SI's Key Personnel shall have the required experience and proper qualifications to perform the Services, and the Authority shall have the right to reject any such Personnel if found unfit by Authority to provide the Services. SI shall also impart the appropriate training to its engineers and Personnel on the current and emerging technologies, concepts and configurations in order to provide the Services in a more efficient manner.

43.Insurance

- 43.1 The Goods supplied under the Agreement shall be comprehensively insured by SI at its own cost, against any loss or damage, for the entire period of the Agreement. SI shall submit to the Authority, documentary evidence issued by the insurance company, indicating that such insurance has been taken.
- 43.2 SI shall bear all the statutory levies like customs, insurance, freight, etc. applicable on the Goods and also the charges like transportation charges, GST etc. that may be applicable till the Goods are delivered at the respective sites of installation shall also be solely borne by SI.
- 43.3 SI shall take out and maintain at its own cost, on terms and conditions approved by the Authority, all necessary insurance against the risks, and for the coverages, as specified below:
- a. at the Authority's request, shall provide evidence to the Authority showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid; and
 - b. Employer's liability and workers' compensation insurance in respect of the Personnel of the SI, in accordance with the relevant provisions of the Applicable Laws including personal accident and death in respect of its Personnel or any other insurance as may be appropriate and the proof of such insurances shall be provided to Authority, when so requested. Notwithstanding the above, the Key Personnel of SI shall be and shall remain the employees of SI and SI alone shall be responsible for the payment of all dues with respect to them or meeting any statutory obligations under the Applicable Laws with respect to such Personnel.

44.Transfer of Ownership

- 44.1 All Commercially off the Shelf (COTS) products/ Open-Source Solutions and related solutions and fixes provided pursuant to this Agreement shall be licensed according to the terms of the license agreement packaged with or otherwise applicable to such products. Such licenses shall be brought on behalf of and in the name of Authority or mentioning Authority as the end user of such licenses. SI shall be responsible for arranging any licenses associated with products. "Product" means any computer code, web-based services, or materials comprising commercially released, pre-release or beta products (whether licensed for a fee or no charge) and any derivatives of the foregoing which are made available to the Purchaser for license which is published by product owner or its affiliates, or a third party. "Fixes" means product fixes that are either released generally (such as commercial product service packs) or that are provided to you when performing services (such as workarounds, patches, bug fixes, beta fixes and beta builds) and any derivatives of the foregoing. Unless otherwise specifically restricted by the Licensing Terms of the COTS products/ Open-Source Solutions, all intellectual property rights in any development/ enhancement/ customization etc. done on the COTS products/ Open-Source Solutions pursuant to this Agreement shall be owned by Authority. Further, the SI shall be obliged to ensure that all approvals, registrations, licenses, permits and rights which are, inter-alia, necessary for use of the Deliverables, goods, services, applications, services etc. provided by the SI / Consortium / subcontractors under this Agreement shall be acquired in the name of the Authority and SI shall have the non-exclusive, limited right to use such licenses till the Term on behalf of the Authority solely for the purpose of execution of any of its obligations under the terms of this Agreement. However, subsequent to the term of this Agreement, such approvals etc. shall endure to the exclusive benefit of the Authority.
- 44.2 Forthwith upon expiry or earlier termination of the Agreement and at any other time on demand by the Authority, SI shall deliver to the Authority all Documents provided by or originating from the Authority and all Documents produced by or from or for SI in the course of performing the Services, unless otherwise directed in writing by the Authority at no additional cost. SI shall not, without the prior written consent of the Authority store, copy, distribute or retain any such Documents.

45.Exit Management Plan

- 45.1 An Exit Management plan shall be furnished by SI in writing to the Authority within 90 (ninety) days from the date of signing of the Agreement, which shall deal with at least the following aspects of exit management in relation to the Agreement as a whole and in relation to the Project implementation, and Service Level monitoring:
- a. a detailed program of the transfer process that could be used in conjunction with a Replacement Service Provider including details of the means to be used to ensure continuing provision of the Services throughout the transfer process or until the cessation of the Services and of the management structure to be used during the transfer;
 - b. plans for provision of contingent support to Project and Replacement Service Provider for a reasonable period after transfer;
 - c. Exit Management Plan in case of normal termination of Agreement period;
 - d. Exit Management Plan in case of any eventuality due to which Project is terminated before the Agreement period; and
 - e. Exit Management Plan in case of termination of SI.

- 45.2 Exit Management Plan at the minimum shall adhere to the following:
- a. 3 (Three) months of the support to Replacement Service Provider post termination of the Agreement.
 - b. All reasonable assistance necessary to ensure that an orderly transfer is achieved with minimal disruption, to Replacement Service Provider, of the Services, functions and operations that were provided prior to termination of Agreement/exit of SI from Project, complete handover of the planning documents, bill of materials, functional requirements specification, technical specifications of all equipment, change requests if any, sources codes, wherever applicable, reports, documents and other relevant items to the Replacement Service Provider/Authority; and
 - c. Certificate of acceptance from authorized representative of Replacement Service Provider issued to SI on successful completion of handover and knowledge transfer
- 45.3 In the event of termination or expiry of the Agreement, Project implementation, or Service Level monitoring, both SI and Authority shall comply with the exit management plan.
- 45.4 During the exit management period, SI shall use its best efforts to deliver the Works/Services.

B PART B – SPECIAL CONDITIONS OF AGREEMENT

46. Performance Security

SI shall furnish Performance Security to the Authority at the time of signing the Contract which shall be equal to 5% of the value of the Contract and shall be in the form of a **Bank Guarantee** from a Nationalized / Scheduled Bank having branch at Secunderabad in the Proforma given in Annexure 5 (a) RFP volume I within 15 days after issuance of letter of intent (LOI) or Letter of Award (LOA) which would be valid up to a period of six months (6) after the contract completion period i.e., 'Go- Live' + 60 months of O&M.

The Performance Bank Guarantee shall be encashed by the Authority in the event of SI's failure to complete obligations or breach by SI of any of the terms and conditions of the Agreement.

47. Liquidated Damages

- 47.1 If SI fails to supply, install or maintain any or all of the Goods or fails to complete the Works or fails to provide the Services as per the Agreement, within the time period(s) specified in the RFP Vol II, the Authority without prejudice to its other rights and remedies under the Agreement.
- 47.2 The deduction shall not in any case exceed 10 % of 1.5 times the value of Performance Guarantee and upon reaching such limit, the Authority shall, in its sole discretion, be entitled to terminate the Agreement. The Authority may without prejudice to its right to effect recovery by any other method, deduct the amount of liquidated damages from any payments due to SI in its hands (which includes the Authority's right to claim such amount against SI's Bank Guarantee) or which may become due to SI at a prospective date. Any such recovery or liquidated damages shall not in any way relieve SI from any of its obligations to complete the Work or from any other obligations and liabilities under the Agreement.
- 47.3 Delay not attributable to SI shall be considered for exclusion for the purpose of computing liquidated damages.

48. Limitation of Liability

- 48.1 Notwithstanding anything to the contrary in this Agreement, the liability of one Party towards the other Party for any damages or compensation of any nature whatsoever under this Agreement, shall not exceed Total Project Cost. For avoidance of doubt, the limitation hereunder shall not apply to any or all liabilities in respect of third parties. The Parties agree that the SI's liability will be uncapped in case of any liabilities arising due to:
- 48.1.1 any amount payable as indemnity to the Authority due to its acts or omissions
 - 48.1.2 or fraud, gross negligence and wilful misconduct;
 - 48.1.3 breach of any Applicable Laws or any Applicable Permits;
 - 48.1.4 any claims or loss on account of Intellectual Property rights violation by the SI;

48.1.5 any personal bodily injury or death of any person caused by, arising out of or in connection with its performance of this Agreement; or

48.1.6 any loss of or physical damage to property of the Authority or any third party caused by, arising out of or in connection with the performance of this Agreement.

48.2 The provisions of this Article 45 shall survive Termination.

49. Ownership and Retention of Documents

49.1 The Authority shall own the Document(s), prepared by or for SI arising out of or in connection with the Agreement.

49.2 Forthwith upon expiry or earlier termination of this Agreement and at any other time on demand by the Authority, SI shall deliver to the Authority all Documents provided by or originating from the Authority and all Documents produced by or for SI in the course of performing the Services, unless otherwise directed in writing by the Authority at no additional cost. SI shall not, without the prior written consent of the Authority store, copy, distribute or retain any such Documents.

50. Information Security

50.1 SI shall not carry any written/printed document, layout diagrams, compact disk, hard disk, storage tapes, other storage devices or any other goods/material proprietary to Authority into/out of any Project Location without written permission from the Authority.

50.2 SI shall not destroy any unwanted documents, defective tapes/media present at any location on their own. All such documents, tapes/media shall be handed over to the Authority.

50.3 All documentation and media at any location whether at the Project Location or otherwise, shall be properly identified, labelled and numbered by SI. SI shall keep track of all such items and provide a summary report of these items to the Authority whenever asked for.

50.4 Access to Authority's data and systems, internet facility by SI at any location shall be in accordance with the written permission by the Authority. The Authority shall allow SI to use its facilities in a limited manner subject to availability. It is the responsibility of SI to prepare and equip itself in order to meet the requirements of providing the Services.

50.5 SI must acknowledge that Authority's business data and other Authority proprietary information or materials, whether developed by Authority or being used by Authority pursuant to a license agreement with a third party (the foregoing collectively referred to herein as "proprietary information") are confidential and proprietary to Authority; and SI along with its team agrees to use reasonable care to safeguard the proprietary information and to prevent the unauthorized use or disclosure thereof, which care shall not be less than reasonable care used by SI to protect its own proprietary information. SI recognizes that the goodwill of Authority depends, among other things, upon SI keeping such proprietary information confidential and that unauthorized disclosure of the same by SI or its team could damage the goodwill of Authority, and shall be considered as a material breach of the Agreement terms and conditions by SI. SI may come into possession of such proprietary information, even though SI does not take any direct part in or furnish

the Services performed for the creation of said proprietary information and it shall limit access of such proprietary information there to only such employees with a need to such access to perform the Services. SI and or its Key Personnel shall use such information only for the purpose of performing the said Services.

- 50.6 SI shall, upon termination of the Agreement for any reason, or upon demand by Authority, whichever is earlier, return any and all information provided to SI by Authority, which would include any Confidential information or any proprietary information including any copies or reproductions, both hardcopy and electronic of such information.
- 50.7 By virtue of the Agreement, SI team may have access to information of the Authority and/or a third party which would include any Confidential Information or any proprietary information of such parties and will use such information only with prior approval of the Authority on a need only basis and to the extent required for performing the Services.

51.Records of Agreement documents

- 51.1 SI shall at all-time make and keep sufficient copies of the process manuals, operating procedures, specifications, Agreement documents and any other documentation as may be required to fulfil the obligations under the Agreement.
- 51.2 SI shall keep at the DC Site /ICCC/Authority office, at least 3 (three) copies of each and every specification and copy of the Agreement, in excess of its own requirement and those copies shall be available at all times for use by the Authority's representative and by any other person authorized by the Authority's representative.

52.Security and Safety

- 52.1 SI shall comply with the directions issued from time to time by the Authority and the standards related to the security and safety, in so far as it applies to the provision of the Services.
- 52.2 SI shall upon reasonable request by the Authority, or its nominee(s) participate in regular meetings when safety and information technology security matters are reviewed.

53.Confidentiality

- 53.1 SI shall not, either during the Term or after expiration of the Agreement, disclose any proprietary or Confidential Information relating to the Services/Agreement and/or Authority's business/operations, information, application/software, hardware, business data, architecture schematics, designs, storage media and other information/documents without the prior written consent of the Authority.
- 53.2 The Authority reserves the right to adopt legal proceedings, civil or criminal, against SI in relation to a breach of obligation by SI under this Article.
- 53.3 SI shall do everything reasonably possible to preserve the confidentiality of the Confidential Information including execution of a confidentiality agreement with the Authority to the satisfaction of the Authority.

- 53.4 SI shall notify the Authority promptly if it is aware of any unauthorized disclosure of the Confidential Information otherwise than as permitted by the Agreement or with the authority of the Authority.
- 53.5 SI shall be liable to fully recompense the Authority for any loss of revenue arising from breach of confidentiality.

54.Events of Default

54.1 Events of Default by SI:

54.1.1 In the event that the any of the following events of default shall have occurred, the SI shall be deemed to be in default of the Agreement ("Event of Default"), save and except to the extent that the same is attributable to a Force Majeure Event, which, if not remedied within the Cure Period upon receipt of Notice of Intention to Terminate from Authority, shall provide Authority the right to terminate this Agreement. The defaults referred to above shall mean the following default of obligations of the SI under the Agreement:

- a. The SI becomes bankrupt or insolvent;
- b. The SI is under liquidation.
- c. The SI assigns the Agreement or any part thereof otherwise than as permitted under the Agreement or by Authority;
- d. The SI abandons the Agreement;
- e. The SI persistently disregards the instructions of the Authority, or contravenes any provision of the Agreement.
- f. The SI does or permits to do any act, matter, deed or thing in violation of Applicable Law and/or Applicable Permits;
- g. The SI fails to maintain insurance (s) as required under the Agreement;
- h. The SI uses or permits or causes the use of the Site for purposes other than those specified in the Agreement;
- i. The SI fails to complete the Project within the time specified in the Agreement or within such extensions as granted by the Authority in terms of the Agreement;
- a. SI/SI's Teams failure to confirm/adhere to any of the key performance indicators as laid down in the Key Performance Measures/Service Levels, or if SI has fallen short of matching such standards/benchmarks/targets as the Authority may have designated with respect to the System or any Goods, task or service, necessary for the execution of the Scope of Work and performance of Services under this Agreement. The above-mentioned failure on the part of SI may be in terms of failure to adhere to performance, quality, timelines, specifications, requirements or any other criteria as defined by the Authority;
- b. SI's failure to remedy a defect or failure to perform its obligations in accordance with the Service Specifications as per this RFP or any other specifications issued by the Authority, despite being served with a default notice which laid down the specific deviance on the part of SI/SI's Team to comply with any stipulations or standards as laid down by the Authority;
- c. SI/SI's Teams failure to demonstrate or sustain any representation or warranty made by it in the Agreement, with respect to any of the terms of the Bid, the RFP and the Agreement.

- 54.2 Where there has been an occurrence of such defaults inter alia as stated above, the Authority shall issue a notice of default to SI, setting out specific defaults/deviances/omissions/non-compliances/non-performances and providing a notice of Cure Period to enable the SI to rectify such default committed.
- 54.3 Where despite the issuance of a default notice to SI, it fails to remedy the default within the 30 days period provided to the satisfaction of the Authority, then the Authority may proceed to issue a Notice of Intention to Terminate the Agreement forthwith.

55.Termination

55.1 Without prejudice to any other rights or remedies which the non-defaulting Party may have under the Agreement or under the Applicable Laws, upon the occurrence of either an Event of Default SI, the SI shall be liable for the breach caused and consequences thereof and the Authority shall have the right to issue a Notice of Intention to Terminate. Upon the issuance of a Notice of Intention to Terminate, the SI shall have the right to rectify or cure the breach within the Cure Period. If the breach is not rectified by the SI within the Cure Period, the Authority shall have the right to terminate the Agreement by issuance of a Termination Notice.

55.2 Termination by Authority

The Authority may, terminate the Agreement in whole or in under the following circumstances:

- a. Where the Authority is of the opinion that there has been such Event of Default on the part of SI/SI's Team which would make it proper and necessary to terminate the Agreement and may include failure on the part of SI to adhere to any part of its obligations under its Bid, the RFP or under the Agreement.
- b. Where it comes to the Authority's attention that SI (or SI's Team) is in a position of actual conflict of interest with the interests of the Authority, in relation to any of terms of SI's Bid, the RFP or the Agreement.
- c. Where SI's ability to survive as an independent corporate entity is threatened or is lost owing to any reason whatsoever, including inter-alia the filing of any bankruptcy proceedings against SI, any failure by SI to pay any of its dues to its creditors, the institution of any winding up proceedings against SI or the happening of any such events that are averse to the commercial viability of SI. In the event of the happening of any events of the above nature, the Authority shall reserve the right to take any steps as are necessary, to ensure the effective transition of the sites, pilot site to a successor agency, and to ensure business continuity.
- d. Termination for Insolvency: The Authority may at any time terminate the Agreement by giving written notice to SI, without compensation to SI, if SI becomes bankrupt or otherwise insolvent, provided that such termination shall not prejudice or affect any right of action or remedy which has accrued or shall accrue thereafter to the Authority.

56.Consequence of Termination

- a. In the event of termination of this Agreement, Authority shall pay a Termination Payment to SI as follows after recovering the outstanding dues if any, toward

the Authority, any claims for losses/damages suffered by Authority due to any action by the SI or its Sub Contractors/ Sub-Lessees:

- b. In the event of Termination before the Appointed Date, no payment shall be paid by the Authority to SI till the date of Termination.
- c. In the event of Termination after the Appointed Date, the Authority shall pay to SI, an amount for goods delivered and services and accepted by the Authority and rendered satisfactorily as per the Payment Schedule, till the date of Termination.
- d. In the event of termination, the Authority shall be entitled to impose any such obligations and conditions and issue any clarifications as may be necessary to ensure an efficient transition and effective business continuity of the Project which SI shall be obliged to comply with and take all available steps to minimize loss resulting from that termination/breach, and further allow and provide all such assistance to the Authority and/or the successor agency/service provider, Replacement Service Provider as may be required, to take over the obligations of SI in relation to the execution/continued execution of the requirements of the Agreement.
- e. Without prejudice to any other rights, the Authority may retain such amounts from the payment due and payable by the Authority to SI as may be required to offset any losses caused to the Authority as a result of any acts of omissions or commission by SI. In case of any loss or damage due to default or inability on the part of SI in performing any of its obligations with regard to executing the Schedule of Requirements under the Agreement, SI shall compensate the Authority for any such loss, damages or other costs, incurred by the Authority.
- f. In case the Agreement is terminated due to Event of Default by SI, Authority shall have the right to invoke the Performance Guarantee.
- g. The termination hereof shall not affect any accrued right or liability of either Party nor affect the operation of the provisions of the Agreement that are expressly or by implication intended to come into or continue in force on or after such termination.
- h. Upon termination or after expiration of Agreement, SI shall forthwith return to the Authority, all papers, material and other properties held by/provided to SI during the Term of the Agreement, including all Confidential Information and proprietary information provided to SI for its use during the Project.

57.Miscellaneous

- a. Under this Agreement, the relationship between the Parties is that of independent contractors and no other relationship is intended, including a partnership, franchise, joint venture, agency, employee/employer, fiduciary, master/servant relationship, or other special relationship. Neither Party shall act in a manner, which expresses or implies a relationship other than that of independent contractors, nor bind the other Party. SI and the Sub-contractor shall take care of all liabilities, statutory or otherwise, in relation to persons employed by it or otherwise and the Authority shall not be responsible for the same in any manner whatsoever.
- b. SI or any of its Affiliates shall not directly or indirectly, solicit for employment or engagement any employees of the Authority. The provisions of this Article shall

be applicable during the Term of the Agreement and shall survive the termination of the Agreement for a period of 2 years from the date of termination. In addition, SI shall not proceed to conduct operations/business similar to the Authority with any employee and/or consultant of the Authority who has knowledge of the Confidential Information, without the prior written consent of the Authority.

- c. It is also agreed between the Parties that the Authority is under no obligation, whatsoever, to procure Services/execute Works from SI alone. By executing the Agreement, the Authority does not commit/guarantee any minimum number of payments due to SI for the Services/Works performed by SI and holds the right to increase or decrease the Scope of Work provided under the Agreement and in these cases, the Parties shall mutually agree upon any amendment to the charges which are payable to SI for the Works/Services performed.
- d. The Authority reserves the right to propose amendment or modification, of the terms of the Agreement or any part of it by giving SI a notice in writing. No variation, amendment, modification or addition to the Agreement shall be effective or binding on either of the Parties unless set forth in writing and executed by them through their authorized representatives.
- e. The Agreement shall be governed by and construed in accordance with the laws of India. The Parties agree to accept the non-exclusive jurisdiction of the competent courts of New Delhi.
- f. The Agreement sets forth the entire agreement and understanding between the Parties as to the subject matter therein and shall supersede and override all previous communications, negotiations, commitments, agreements, and understandings, either oral or written, between the Parties with respect to the subject matter of the Agreement.

58. Notice

Unless otherwise provided herein, all notices or other communications to be given pursuant to the Agreement shall be made in writing, in English and by letter/email (save as otherwise stated) and shall be deemed to be duly given or made, in the case of personal delivery of the letter, when delivered; in the case of email, when sent, or, in the case of a letter, 3 (three) Business Days after being deposited in the post (by registered post, with acknowledgment due), postage prepaid, to such Party at its address or facsimile number specified herein or at such other address or facsimile number as such party may hereafter specify for such purposes to the other by notice in writing.

The addresses referred to above are:

- a) In the case of a notice to the Authority:

Address : [●]

Attention : [●]

Telephone : [●]

Email : [●]

- b) In the case of the SI

Address : [●]

Attention : [●]

Telephone : [●]

Email : [●]

c) In the case of the Subcontractor (if applicable):

Address : [●]

Attention : [●]

Telephone : [●]

Email : [●]

- ii A notice or other communication received on a day other than a Business Day, or after business hours in the place of receipt, shall be deemed to be given on the next following Business Day in such place.
- iii The address or email address for serving notices can be changed by any Party by properly serving notices on the other Parties informing them of the changes of address.
- iv In the event that a Party refuses delivery or acceptance of a notice, request or other communication, under the Agreement, it shall be deemed that the notice was given upon proof of the refused delivery, provided the same was sent in the manner specified in the Agreement.
- h. No failure by either party to enforce any rights hereunder shall be construed as a waiver of such right(s).
- i. If any provision of the Agreement is held to be inoperative or unenforceable as applied in any particular case because it conflicts with any other provision hereof or any statute, ordinance, rule of law or public policy, or for any other reason, such holding shall not have the effect of rendering the provision in question inoperative or unenforceable in any other case, or of rendering any other provision herein contained inoperative or unenforceable to any extent whatsoever. The invalidity of any one or more phrases, sentences or Clauses contained in the Agreement shall not affect the remaining portions of the Contractor any part hereof, and they shall otherwise remain in full force and effect.
- j. Neither SI nor its employees or its Subcontractor shall have the right, power, or authority to create any Agreement or obligation, express or implied, on behalf or, in the name of or binding on Authority.
- k. The rights and obligations under the Agreement are personal to SI and shall not be assigned by it, to any third party, without the express prior written authorization of the Authority.

59.Change Control Note (CCN)

- a. This applies to and describes the procedure to be followed in the event of any proposed change to Agreement, site Implementation, and Service levels. Such change shall include, changes in the scope of services provided by SI and changes to the terms of payment.
- b. Change requests in respect of the Agreement, the site implementation, or the Service levels shall emanate from the Parties' representative who shall be responsible for obtaining approval for the change and who shall act as its sponsor throughout the Change Control Process and shall complete Part A of the CCN (Annex I of this Vol III). CCNs shall be presented to the other Party's representative who shall acknowledge receipt by signature of the authorized representative of the Authority.
- c. SI and the Authority while preparing the CCN, shall consider the change in the context of whether the change is beyond the scope of Services including ancillary and concomitant services required. The CCN shall be applicable for the items which are beyond the stated/implied scope of work as per the RFP document.
- d. SI shall assess the CCN and complete Part B of the CCN. In completing Part B of the CCN SI shall provide as a minimum:
 - i a description of the change;
 - ii a list of Deliverables required for implementing the change;
 - iii a timetable for implementation;
 - iv an estimate of any proposed change; or any relevant acceptance criteria;
 - v an assessment of the value of the proposed change;
 - vi Material evidence to prove that the proposed change is not already covered within the scope of the RFP, Agreement and Service Levels.
- e. Prior to submission of the completed CCN to the Authority or its nominated agencies, SI shall undertake its own internal review of the proposal and obtain all necessary internal approvals. As a part of this internal review process, SI shall consider the materiality of the proposed change in the context of the Agreement, the sites, Service levels affected by the change and the total effect that may arise from implementation of the change.
- f. Each Party shall be responsible for its own costs incurred in the quotation, preparation of CCNs and in the completion of its obligations described in this process provided SI meets the obligations as set in the CCN. In the event SI is unable to meet the obligations as defined in the CCN then the cost of getting it done by third party shall be borne by SI. Change requests and CCNs shall be reported monthly to each Party's representative who shall prioritize and review progress.

C PART C – SERVICE LEVELS

60.Purpose of Service Levels

- a. The purpose is to define/measure the levels of the Service provided by SI to the Authority for the duration of the Agreement. The benefits of this are:
 - i Implement a process to define Service level parameters or permissible threshold within which SI would be required to perform the Services, and failure of performing the Services by SI within the said acceptable parameters would be considered as a deficiency in Services;
 - ii help the Authority control the levels and performance of SI's Services; and
 - iii The service levels are between the Authority and SI. Alert SI to improve its Services and/or remove deficiencies in Services in case the Service Levels agreed between the Authority and SI are breached by SI

61. Service Level Agreements & Targets

- a. The SI agrees and acknowledges that the works and services in relation to the Project are to be performed in strict compliance with the requirements of the Agreement. In the event of the failure of the SI to duly perform the said works and services in accordance with the aforesaid requirements, the SI agrees and acknowledges that it shall be required to pay the corresponding extent of liquidated damages as specified in respect thereto in terms of the Schedules, which amounts, shall be deemed to not be by way of penalty, and shall represent a genuine pre-estimate of the loss and damage occurring to Authority, on account of the relevant non-compliance and/ or failure of the SI.
- b. Provided however that, on or prior to the Appointed Date, the SI shall provide a report to Authority setting out the specific provisions of the scope of the service level standards that it would not be able to comply with, and request for a waiver or relaxation thereto. Authority may, but shall not be obliged to, grant such a waiver or relaxation to the SI. It is clarified that:
 - i Such waiver or relaxation granted by Authority shall only apply for such time period as may be prescribed by Authority, and upon the expiry of such time period, the obligation of the SI to comply with the requirements of service level standards shall stand reinstated in its entirety; and
 - ii Any such waiver or relaxation shall not extend to any period beyond the Completion Date.
- c. The SI shall, provide to Authority, a monthly report, within 5 days of the expiry of each month, or at such intervals as specified in the Agreement, setting out the extent of its compliance with the aforesaid service level standards, and the remedial action undertaken by the SI in this regard.
- d. This section is agreed to by Authority and SI as the key performance indicator for the Project. This may be reviewed and revised according to the procedures detailed in Article 65 (Service Level Change Control).
- e. The following section reflects the measurements to be used to track and report system's performance on a regular basis. The targets shown in the following tables are for the period of Contact.
- f. The procedures in Article 35 shall be used if there is a dispute between Authority and SI on what the permanent targets should be.

62. Maintenance Manual

- a. Without prejudice to the other obligations of the SI, the SI agrees and acknowledges that it shall be required to undertake the Operations and Maintenance of the Project, in accordance with certain pre-identified work schedules.
- b. Towards the aforesaid, the SI shall within 45 days from the Effective Date (and thereafter, on or prior to the 30 days before the commencement of each succeeding Financial Year therefrom), prepare, and submit for the approval of Authority, a repair, operation and maintenance manual (the "Maintenance Manual"), for all aspects of the Operations and Maintenance Project. The Maintenance Manual shall be consistent with the requirements of service level standards as laid down in the Agreement, unless a waiver or relaxation is sought and granted by Authority in accordance with Article 57.2 above (whereupon such requirements shall be appropriately deemed to be modified for the relevant approved period). Such Maintenance Manual shall inter alia, provide for the following:
 - i. The mode and manner of carrying out of the O&M of the Project, including specifically the proposed measures of the SI for ensuring compliance requirements of the service level standards;
 - ii. The manner of scheduling and deployment of manpower and resources;
 - iii. Arrangements and procedures for carrying out urgent repairs;
 - iv. Criteria and process to be adopted for deciding maintenance needs;
 - v. A cleaning schedule, for cleaning of Project assets and utilities; and
 - vi. An inspection schedule for inspection and examination of the condition, state of repair and operational efficiency of various components of the Project thereat.
- c. The aforesaid Maintenance Manual shall, upon being approved by Authority (and subject to the comments of Authority thereon), be binding on the SI, and the O&M of the Project shall be undertaken in accordance with the said approved Maintenance Manual. Provided that approved Maintenance Manual (and the approval thereof by Authority), shall not relieve the SI of its obligation to duly undertake the O&M of the Project as per Applicable Laws and Good Industry Practices, and the other provisions of the Agreement.

63. General Principles of Service Level Agreements

The Service Level Agreements have been logically segregated in the following two categories:

- a. Liquidated Damages
The liquidated damages shall come into effect once the notification of Award has been issued by the Purchaser. It would be mainly applicable on the implementation phase of the project.

b. Service Level Agreements (SLA)

The SI has to comply with service level standards and requirements to ensure adherence to project timelines, quality and availability of services, throughout the period of this O&M period i.e., for a period mentioned in the project timeline of volume II of the RFP. The SI has to supply appropriate software/hardware/automated tools as may be required to monitor and submit reports of all the SLAs mentioned in this section.

SLA would be applicable in operations and maintenance phase of the project. The penalties shall be applicable on Operations & Maintenance cost of the project calculated quarterly. SLA would be applicable on:

- City Network backbone
- DC/DR On-Premise and Cloud based
- ICCC application
- City Surveillance
- Traffic Management
- Flood Sensors
- Environmental Sensors
- Smart Pole
- Smart Kiosk
- Citizen Mobile App

For purposes of the SLA, the definitions and terms as specified in the Document along with the following terms shall have the meanings set forth below:

- a) **"Total Time"** - Total number of hours in the quarter (or the concerned period) being considered for evaluation of SLA performance.
- b) **"Uptime"** – Time period for which the specified services/outcomes are available in the period being considered for evaluation of SLA. Formulae for calculation of Uptime:
$$\text{Uptime (\%)} = \{1 - [(\text{Downtime}) / (\text{Total time-scheduled maintenance time})]\} * 100$$
- c) **"Downtime"**- Time period for which the specified services/components/outcomes are not available in the concerned period, being considered for evaluation of SLA, which would exclude downtime owing to Force Majeure & Reasons beyond control of the successful bidder.
- d) **"Scheduled Maintenance Time"** - Time period for which the specified services/components with specified technical and service standards are not available due to scheduled maintenance activity. The successful bidder is required to take at least 10 days prior approval from Authority for any such activity. The scheduled maintenance should be carried out

during non-peak hours (like post mid-night, and should not be for more than 4 hours. Such planned downtime would be granted max 4 times a year.

- e) **“Incident”** - Any event/abnormalities in the service being rendered, that may lead to disruption in normal operations and services to the end user.
- f) **“Response Time”** - Time elapsed from the moment an incident is reported in the Helpdesk over phone or by any applicable mode of communication, to the time when a resource is assigned for the resolution of the same.
- g) **“Resolution Time”** - Time elapsed from the moment incident is reported to Helpdesk either in person or automatically through system, to the time by which the incident is resolved completely and services as promised are restored.

64.Measurement of SLA

- a. The Service Level parameters defined in this Article shall be monitored on a periodic basis, as per the individual parameter requirements. SI shall be responsible for providing appropriate web based online SLA measurement and monitoring tools for the same. SI shall be expected to take immediate corrective action for any breach in SLA. In case issues are not rectified to the complete satisfaction of Authority, within a reasonable period of time defined in this Agreement, then the Authority shall have the right to take appropriate penalizing actions, or termination of the contract.
- b. Service levels during Implementation phase
 - i During the implementation phase, the performance measurement parameters include timely delivery of the Scope of Work and shall be as under:

Definition	Timely delivery of Deliverables would comprise entire bill of material and the application systems, and as per successful UAT of the same.
Service Level Requirement	All the Deliverables defined in the Agreement has to be submitted on-time on the date as mentioned in the Agreement with no delay.
Measurement of Service Level Parameter	To be measured in Number of weeks of delay from the timelines mentioned in the section “Project Timelines”
Penalty for non-achievement of SLA Requirement	Any delay in the delivery of the Project Deliverables (solely attributable to vendor) would attract a liquidated damage per week of 0.2% of the CAPEX of Agreement value per week for first 8 weeks and 0.3% per week for every subsequent

week. If the liquidated damage reaches 10% of the total Agreement value, Authority may invoke termination Article.

- c. SLA during Operations and Maintenance phase
- i The performance measurement parameters for assessing performance under SLA during the O&M phase are laid down in **Annexure IV**. During the O&M phase, a maximum level of performance penalties is established and described in the section;

Service Level	Penalty as a Percentage of Applicable Payment Milestone
9	Event of default and termination respectively, along with forfeiture of Performance Bank Guarantee
8	5%
7	4%
6	2%
5	1%
4	0.5%
3	0.4%
2	0.3%
1	0.2%
0	No penalty

- a) Performance Penalty for not meeting a measurement parameter for any two months in consecutive quarters shall result in twice the penalty percentage of that respective measurement parameter in the third quarter for all the three months.
- b) Maximum Penalty applicable for any quarter shall not exceed 30% of the 'payment due' for the respective quarter.
- c) Three consecutive quarterly deductions of 30 % of the payment due on account of any reasons shall be deemed to be an event of default and termination as per Article 52 of this Agreement respectively and the consequences as provided in Article 53.
- d) The payment to the SI shall be on Quarterly basis however the penalty shall be calculated on monthly basis as per the SLAs stated in the Annexure IV of this Agreement.

65. Conditions for No Penalties

- a. Penalties shall not be levied on the SI in the following cases:

- i. There is a Force Majeure event effecting the SLA which is beyond the control of the SI. Force Majeure events shall be considered in line with the Article 38 mentioned in RFP.
- ii. The non-compliance to the SLA has been due to reasons beyond the control of the SI.
- iii. Theft cases by default/vandalism would not be considered as “beyond the control of SI”. Hence, the SI should be taking adequate anti-theft measures, spares strategy, Insurance as required to maintain the desired Required SLA.

66. Service Level Change Control

a. General

- i It is acknowledged that the Service levels may change as Authority's business needs evolve over the course of the Agreement period.
- ii Any changes to the levels of service provided during the Term of the Agreement shall be requested, documented and negotiated in good faith by both Parties. Either Party can request a change.
- iii Service Level Change Process: The Parties may amend Service Level by mutual agreement. Changes can be proposed by either Party. Unresolved issues shall also be addressed. SI's representative shall maintain and distribute current copies of the Service Level document as directed by Authority. Additional copies of the current Service Levels shall be available at all times to authorized parties.
- iv Version Control/Release Management: All negotiated changes shall require changing the version control number. As appropriate, minor changes may be accumulated for periodic release or for release when a critical threshold of change has occurred.

ANNEXURES
Annexure I :Change Control Note

Change Control Note	CCN Number:
Part A: Initiation	
Title	
Originator	
Sponsor	
Date of Initiation	
Details of Proposed Change	
(To include reason for change and appropriate details/specifications. Identify any attachments as A1, A2, and A3 etc.)	
Authorized by Authority	Date
Name	
Signature	
Received by the SI	Date
Name	
Signature	
Change	
Change Control Note	CCN Number:
Part B: Evaluation	
(Identify any attachments as B1, B2, and B3 etc.)	
Changes to Services, payment terms, payment profile, documentation, training, service levels and component working arrangements and any other contractual issue.	
Brief Description of Solution:	
Deliverables:	
Timetable:	
Charges for Implementation:	
Other Relevant Information:	
(Including value-added and acceptance criteria)	
Authorized by Authority	Date
Name	
Signature	
Change Control Note	CCN Number:
Part C: Authority to Proceed	
Implementation of this CCN as submitted in Part A, in accordance with Part B is: (tick as appropriate)	
Approved	
Rejected	
Requires Further Information (as follows, or as Attachment 1 etc.)	
For Authority and its nominated agencies	For SI
Signature	Signature
Name	Name
Title	Title
Date	Date

Annexure II: FORM OF AGREEMENT

This Agreement (hereinafter "Framework Agreement") made on this _____ day of _____, 20XX BETWEEN RailTel Corporation of India Ltd (hereinafter referred to as the "**Authority**", which expression shall include its successors and assigns) of the One Part; AND _____ (hereinafter referred to as the "**SI**" which expression shall include its successors and assigns) of the Other Part.

AND WHEREAS, the Authority invited bids for the [selection of System Integrator(SI) for DESIGN, DEVELOPMENT, SITC, O&M FOR 05 YEARS OF INTEGRATED COMMAND & CONTROL CENTER(ICCC) & OTHER ASSOCIATED ACTIVITIES FOR PUDUCHERRY SMART CITY AREA].

AND WHEREAS, pursuant to the bid submitted by the SI, vide _____ (here in after referred to as the "Bid or Offer") for the execution of Works, the Authority by its Letter of Acceptance dated _____

accepted the offer submitted by the SI for the execution and completion of such

Works as specified in the RFP documents and on the conditions in accordance with the documents listed in para 2 below.

AND WHEREAS, the SI by a deed of undertaking dated _____ has agreed to abide by all the terms of the Bid, including but not limited to the amount quoted for the execution of Agreement, as stated in the Bid, and also to comply with such terms and conditions as may be required from time to time.

AND WHEREAS, pursuant to the Bid submitted by the SI vide _____ (hereinafter referred to as the "the Offer"), the Authority has by its Letter of Acceptance no. _____ dated _____

accepted the Offer submitted by the SI for the execution and completion of such

Works and the remedying of any defects therein, on terms and conditions of the Framework Agreement;

AND WHEREAS, the SI has agreed to undertake such Works and has furnished Performance Security in form of a Performance Bank Guarantee pursuant to Article 46 of the Agreement.

NOW THIS AGREEMENT WITNESSETH as follows:

1. In this Framework Agreement words and expressions shall have the same meanings as are respectively assigned to them in the conditions of Agreement hereinafter referred to;
2. The following documents shall be deemed to form and be read and constructed as part of this Framework Agreement viz. (a) Complete Request for Proposal (RFP) documents being Volumes I, II and III of the RFP, Corrigendum and addendum, (b) SI's Offer, (c) Letter of Acceptance or Letter of Award OR Letter of Intent issued by the Authority, (d) the acceptance of Letter of Award from SI, (e) Notice to Proceed with the Work, and (f) Any other document listed in the Agreement Data.
3. The foregoing documents shall be constructed as complementary and mutually explanatory one with another. Should any ambiguities or discrepancy be noted then the order of precedence of these documents shall subject to the condition of particular application be as follows:

(a) Complete Request for Proposal (RFP) documents being Volumes I, II and III of the RFP and Corrigendum and addendum, (b) Framework Agreement, (c) SI's Offer, (d) Letter of Acceptance or Letter of Award or Letter of Intent issued by the Authority, (e) the acceptance of Letter of Award from SI, (f) Notice to Proceed with the Work, and (g) Any other document listed in the Agreement Data.

4. In consideration of the payments to be made by the Authority to the SI as hereinafter mentioned, the SI hereby covenants with the Authority to execute and complete the Works and remedy any defects therein in conformity in all respect with the provisions of the Agreement.

5. the Authority hereby covenants to pay the SI in consideration of the execution and completion of the Works and the remedying of defects therein the Agreement price or such other sum as may become payable under the provisions of the Agreement at the times and in the manner prescribed by the Agreement.

IN WITNESS WHEREOF, the Parties here to have caused this Framework Agreement to be executed on the day and year first before written.

For and on behalf of

RailTel Corporation of India Ltd

By.....

Signature

.....

Print Name

.....

Title

Witness.....

Print Name

.....

Print Address

For and on behalf of

SI (Company Name)

By.....

Signature

.....

Print Name

.....

Title

Witness.....

Print Name

.....

Print Address

Annexure III: Non-Disclosure Agreement

This Non-Disclosure Agreement ("Agreement") is made and entered into ____ day of _____, 20XX by and between

.....having its office at _____ (hereinafter referred to as "Authority")

And

....., having its office at _____ (hereinafter referred to as: System Integrator" and/or "SI")

"Authority" and "SI" shall be individually referred to as Party and collectively as Parties to this Agreement.

Whereas, the Parties have entered into an Agreement bearing reference number _____ dated _____ for _____ provision of _____ (hereinafter referred to as 'Agreement'); and

Whereas, during the execution of the Agreement, PARTIES may disclose to each other certain information which is confidential and proprietary in nature and as such they wish to protect such information from unauthorized disclosure and use;

NOW, THEREFORE, in consideration of the foregoing and the covenants and agreements contained herein and, in the Agreement, the Parties agree as follows:

1. Definitions. As used herein:

- (a) The term "Confidential Information" shall include, without limitation, all information and materials, furnished by a Party ("Discloser") to another Party (Recipient) in connection with Government/corporates/citizen/users/persons/customers data, products and/or ervices, including information transmitted in writing, orally, visually, (e.g. video terminal display) or on magnetic or optical media, and including all proprietary information, customer & prospect lists, trade secrets, trade names or proposed trade names, methods and procedures of operation, commercial or marketing plans, licensed document know-how, ideas, concepts, designs, drawings, flow charts, diagrams, quality manuals, checklists, guidelines, processes, formulae, source code materials, specifications, programs, software packages, codes and other intellectual property relating to such Party's data, computer database, products and/or services. Confidential Information shall also include results of any tests, sample surveys, analytics, data mining exercises or usages etc. carried out by Discloser in connection with the Recipients' or any government department's / Corporates information including citizen/users/persons/customers personal or sensitive personal information as defined under any law for the time being in force.
- (b) The term, "SI" shall include the directors, officers, employees, agents, consultants, contractors and representatives of SI including its affiliates, subsidiary companies and permitted assigns and successors.

2. Protection of Confidential Information. With respect to any Confidential Information disclosed by the Discloser to the Recipient or to which any Party has access, both the Parties agree that it shall:

- (a) Use the Confidential Information only for accomplishment of the Services to be performed under the Agreement and in accordance with the terms and conditions contained herein;
- (b) Maintain the Confidential Information in strict confidence and take all reasonable steps to enforce the confidentiality obligations imposed hereunder, but in no event take less than reasonable care than it takes to protect the confidentiality of its own proprietary and confidential information and that of its clients;
- (c) Not make or retain copy of any Confidential Information except as necessary, under prior written permission from other Party in connection with the Services to be performed under the Agreement, and ensure that any such copy is immediately returned to the other Party even without express demand from such Party to do so;
- (d) Not disclose or in any way assist or permit the disclosure of any Confidential Information to any person or entity without the express written consent of discloser except as provided in Article 6 below; and
- (e) Return to Discloser, or destroy, at Discloser's direction, any and all Confidential Information disclosed in a printed form or other permanent record, or in any other tangible form (including without limitation, all copies, notes, extracts, analyses, studies, summaries, records and reproductions thereof) immediately upon the earlier to occur of:
 - (i) expiration or termination of the Agreement, or
 - (ii) on request of Discloser.
- (f) Not discuss with any member of public, media, press or any other person about the nature of arrangement entered between the Parties or the nature of services to be provided by the SI to the Authority.

3. Onus. Recipient shall have the burden of proving that any disclosure or use inconsistent with the terms and conditions hereof falls within any of the exceptions provided in Article 4 below.

4. Exceptions. The obligations of confidentiality as mentioned in this Agreement shall not apply to any information:

- (a) Which has become generally available to the public without breach of this Agreement by Recipient;
or
- (b) Which at the time of disclosure to Recipient was known to Recipient free of confidentiality restriction as evidenced by documentation in Recipient's possession;
or
- (c) Which either Party agrees in writing is free of such confidentiality restrictions.

5. Remedies. The Parties acknowledge and agree that

(a) any actual or threatened unauthorized disclosure or use of the Confidential Information by Recipient would be a breach of this Agreement and may cause immediate and irreparable harm to Discloser;

(b) Damages from such unauthorized disclosure or use may be impossible to measure accurately and injury sustained by Authority may be impossible to calculate and remedy fully. Recipient acknowledges that in the event of such a breach or threatened breach of any provision of this Agreement, Discloser shall be entitled to specific performance by Recipient of Recipient's obligations contained in this Agreement. Recipient shall indemnify, save, hold harmless and defend Discloser promptly upon demand and at its expense, at any given point in time from and against any and all suits, proceedings, actions, demands, losses, claims, damages, liabilities, costs (including reasonable attorney's fees and disbursements) and expenses (collectively "Losses") to which Discloser may become subject to, in so far as such Losses arise out of, in any way relate to, or result from breach of obligations under this Agreement by Recipient. Such Party shall also be entitled, without the requirement of posting a bond or other security, to seek preliminary and final injunctive relief, as well as any and all other applicable remedies at law or equity, including the recovery of damages.

6. Need to Know. The Parties shall restrict disclosure of Confidential Information to its employees and/or consultants who have a need to know such information for accomplishment of Services under the Agreement provided such employees and/or consultants have agreed to abide by the terms and conditions of this Agreement and agree that they shall not disclose such Confidential Information to any affiliates, subsidiaries, associates and/or third party without prior written approval of Discloser.

7. Intellectual Property Rights Protection. No license to Recipient, under any trademark, patent, copyright, design right, mask work protection right, or any other intellectual property right is either granted or implied by the conveying of Confidential Information to Recipient by the Discloser.

8. No Conflict. The Parties represent and warrant that the performance of their obligations hereunder does not and shall not conflict with any other agreement or obligation of the respective Parties to which they are a party or by which the respective Parties are bound.

9. Authority. The Parties represent and warrant that they have all necessary authority and power to enter into this Agreement and perform their obligations hereunder.

10. Governing Law. This Agreement shall be interpreted in accordance with and governed by the substantive and procedural laws of India and the Parties hereby consent to submit to the exclusive jurisdiction of Courts and/or Forums situated at New Delhi, INDIA only.

11. Entire Agreement. This Agreement constitutes the entire understanding and agreement of the Parties, and supersedes all previous or contemporaneous agreement or communications, both oral and written, representations and understandings among the Parties with respect to the subject matter hereof.

12. Amendments. No amendment, modification and/or discharge of this Agreement shall be valid or binding on the Parties unless made in writing and signed on behalf of each of the Parties by their respective duly authorized officers or representatives.

- 13. Binding Agreement.** This Agreement shall be binding upon and inure to the benefit of the Parties hereto and their respective successors and permitted assigns.
- 14. Severability.** It is the intent of the Parties that in case any one or more of the provisions contained in this Agreement shall be held to be invalid or unenforceable in any respect, such provision shall be modified to the extent necessary to render it, as modified, valid and enforceable under Applicable Laws, and such invalidity or unenforceability shall not affect the other provisions of this Agreement.
- 15. Waiver.** If either Party should waive any breach of any provision of this Agreement, it shall not thereby be deemed to have waived any preceding or succeeding breach of the same or any other provision hereof.
- 16. Survival.** The Parties agree that all of their obligations undertaken herein with respect to Confidential Information received pursuant to this Agreement and obligations of indemnity shall survive for a period of 10 years after any expiration or termination of this Agreement.
- 17. Non-solicitation.** During the term of this Agreement and thereafter for a further period of two (2) years post termination/expiry of Term of the Agreement in case the Parties execute the Agreement, the Parties shall not solicit or attempt to solicit each other's employees and/or consultants, for the purpose of hiring/contracting with such employees and/or consultants. In addition, SI shall not proceed to conduct operations/business similar to the Authority with any employee and/or consultant of the Authority who has knowledge of the Confidential Information, without the prior written consent of the Authority. This section will survive irrespective of the fact whether there exists a commercial relationship between SI and Authority.
- 18. Term.** This Agreement shall come into force on the date first written above and, subject to aforesaid Article 16, shall remain valid up to two (2) years from the expiry or termination of the Agreement.

IN WITNESS HEREOF, and intending to be legally bound, the Parties have executed this Agreement to make it effective from the date and year first written above.

For Authority
 Name:
 Title:

For: System Integrator(SI)
 Name:
 Title:

WITNESSES:

- 1.
- 2

Annexure IV- Service Levels

A.4.1. Measurements & Targets

The SLA metrics provided specifies performance parameters as baseline performance, lower performance and breach. All SLA calculations will be done on quarterly basis. The SLA also specifies the liquidated damages for lower performance and breach conditions.

Payment to the SI shall be linked to the compliance with the SLA metrics. The matrix specifies three levels of performance, namely,

- a. The SI will get 100% of the applicable agreement value if all the baseline performance metrics are compiled and the cumulative credit points are 100
- b. The SI will get lesser payment in case of the lower performance. (For e.g., if SLA point score is 80 then the SI will get 20% less on the quarterly payment – The formula calculating the deductions is “(100 – SLA Point Score) %”)
- c. If the performance of the SI in respect of any parameter falls below the prescribed lower performance limit, debit points shall be imposed for the breach.

The credit (+) points earned during the quarter will be considered for computing penalty and the quarterly payment shall be made after deducting such penalties.

The aforementioned SLA parameters shall be measured as per the individual SLA parameter requirements and measurement methods, through appropriate SLA Measurement tools, to be provided by the SI and approved and audited by Authority or its appointed entity for accuracy and reliability.

Authority shall also have the right to conduct, either itself or through any other agency as it may deem fit, an audit / revision of the SLA parameters. The SLAs defined, shall be reviewed by Authority on an annual basis after consulting the SI, Project Management Consultants and other experts. All the changes would be made by Authority after consultation with the SI and might include some corrections to reduce undue relaxation in Service levels or some corrections to avoid unrealistic imposition of liquidated damages, which are noticed after project has gone live.

Total liquidated damages to be levied on the SI shall be capped at 10% of the total agreement value. However, Authority would have right to invoke termination of the contract in case the overall liquidated damages equal 10% of total agreement value. Liquidated damages to be levied during Post Implementation period shall be capped at 10% of the OPEX value. Authority shall also have right to invoke termination of contract in case cumulative debit point (breach points) are above 30 in 2 consecutive quarters.

1. SLA Matrix for Post Implementation SLAs

- a. These SLAs shall be used to evaluate the performance of the services on monthly basis.
- b. Penalty levied for non- performance as per SLA requirements shall be deducted through subsequent payments due from Authority or through the Performance Bank Guarantee.
- c. The SLA parameters shall be measured for each of the sub systems' SLA parameter requirements and measurement methods, through appropriate SLA Measurement tools. All such required tools should be provided by the SI. Authority shall audit these tools for accuracy and reliability periodically.
- d. The upper limit of penalty would be capped at 10% of the O&M value for each quarter. In case the calculated penalty crosses 10% penalty of the O&M value in 2 subsequent quarters, Authority shall reserve the right to invoke the termination clause.
- e. SLAs for street IT infrastructure such as intelligent traffic signal aspects, traffic signal controllers, surveillance cameras, RLVD cameras, SVD systems, ANPR cameras, emergency call box, public address system, and variable message sign boards etc.

#	Uptime SLA (Monthly)	Penalty Clause
1	Uptime ≥ 98%	No Deduction
2	Uptime < 98%	(98%- Uptime %) of monthly O&M Expense for the component. For example, if uptime of component is 95%, then penalty imposed will be (98%-95%) i.e., 3% of operational expense.

- f. SLAs for IT Infrastructure including software applications, hardware, video walls, workstations and other equipment of ICCC.

#	Performance Area	Baseline		Lower Performance		Breach	
		Metric	Points	Metric	Points	Metric	Points
1. Application Performance (includes any user/system application related to the project)							
1	Overall application(s) availability – ICCC	99%	16	≥ 96.5 % to <99%	8	< 96.5 %	0
2	Reports Generation Response Time (Alerts/MIS/Logs etc.)	Simple query: ≤ 5secs Medium complexity query: ≤30 secs High Complexity query: ≤ 1min	2	Simple Complexity Query: 5.01 to 10 secs Medium Complexity Query: 30.01 to 60 Secs High Complexity query: 60.1 sec to 2 Min	1	Simple complexity Query: >10 secs Medium complexity query: >60 secs High Complexity query: > 2 min	0
3	Maximum time for successful settings modification of field devices	< 4 secs	2	4.01 – 6.0 Secs	1	>6 secs	0
2. End-User Equipment Uptime							
1	Monitoring workstations at Command Centers	99%	6	≥ 96 % to <99%	3	<96%	0
2	IP Phones	98%	4	≥ 96 % to <98%	2	<96%	0
3	Video Wall	99%	10	≥ 96 % to <99%	5	<96%	0
3. Underlying IT Infrastructure Uptime/Availability at Data Centers							
1	Production Servers Uptime	99.95%	20	≥ 99.5 % to <99.95%	10	< 99.5%	0
2	Storage System Uptime	99.95%	20	≥ 99.5 % to <99.95%	10	< 99.5%	0
3	Server/VM average peak time CPU utilization below 75%.	99.95%	20	≥ 99.5 % to <99.95%	10	< 99.5%	0
4	Server/VM average peak memory utilization below 80%.	99.95%	20	≥ 99.5 % to <99.95%	10	< 99.5%	0
4. Security /Patch Services for IT Infrastructure							

1	Firewall and any other security appliance Uptime	100%	10	≥ 97 % to 99.99%	5	< 97%	0
2	Security rules update within 2 hours of approved change management request	0 violations of service parameters	2	1 – 4 Violations	1	> 4 violations	0
3	Anti-virus, Anti-spyware, Anti-spam updates within 24 hrs. of request	0 violations of service parameters	2	1 – 4 Violations	1	> 4 violations	0
4	Critical Patches – within 48 hours of patch release.	0 violations of service parameters	2	1 – 4 Violations	1	> 4 violations	0
5	Non-Critical Patches – within 15 days of patch release.	Up-to 1 violations of service parameters	2	2 – 5 Violations	1	> 5 violations	0
6	Resolution of Issue	<8 Hrs. (for Critical issue) <16 Hrs. (for medium issue)	2	<12 Hrs. and ≥ 8 hrs. (for Critical issue) <24 Hrs. and ≥ 16 (for Medium issue)	1	>12 Hrs. (for Critical issue) >24 Hrs. (For Medium issue)	0
		<4 days (for Low issue)		<8 days and ≥ 4 (for Low issue)		>8 days (for Low issue)	
Total Score			100		50		0

g. SLAs for Wi-Fi

#	Uptime SLA (Monthly)	Penalty Clause
1	Uptime ≥ 95%	No Deduction
2	Uptime < 95%	(95%- Uptime %) of monthly Operational Expense for the component. For example, if uptime of component is 90%, then penalty imposed will be (95%-90%) i.e., 5% of operational expense.

- i. The penalties would be levied for every Access Point (AP) down time – be it for non-availability of network, theft, damage or non-availability of power etc. because the SI is responsible for supply of all enabling components on end-to-end basis.

h. SLA for Internet throughput for Wi-Fi

- i. Throughput and Coverage: Minimum throughput and coverage has to be 95% of prescribed values of each AP. SI must ensure measurement of these results using an automated tool and provision of results to Authority.
- ii. In case throughput falls below the guaranteed level, Authority will impose the penalty of Rs.2000/- (Rupees two thousand) per instance per location in addition to SLA and Penalty.

i. SLAs for Smart LEDs, Environment Sensors, Flood Sensors and IOT Gateway

#	Uptime SLA (Monthly)	Penalty Clause
1	Uptime ≥ 99.5%	No Deduction

2	Uptime < 99.5%	(99.5%- Uptime %) of monthly O&M Expense for the component.
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- j. Uptime definition: All devices have to be working and deliver the desired results. The no. of hours that the particular device/equipment does not work will be treated as down time. Uptime shall be calculated as $Uptime (\%) = \{1 - [(Downtime) / (Total\ time\ -\ scheduled\ maintenance\ time)]\} * 100$. For ex, if 10 nos. of Environmental Sensors are deployed at various locations, and 2 device/units does not work for 5 Hrs., the total non-working device hours will be 10-unit hours (and the uptime would be $\{1 - (10 / (10 * 90 * 24))\}$, 10 being the number of units, for 90 days on 24 hours basis.
- k. The penalties would be levied for every unit down time hour. SLA for Video Analytics Performance.

#	Parameter	Penalty Clause
1	ANPR for Standard Roman Number plates (2 wheelers & above): 80% accuracy	Rs. 1000/- per wrongly identified regd. Number plate below the prescribed limit of SLA
2	ANPR for Non-Standard Roman Number plates (2 wheelers & above): 60% accuracy	Rs. 500/- per wrongly identified regd. Number plate below the prescribed limit of SLA

- l. M
- m. SLA and Penalty for Helpdesk Response and Resolution time

#	Parameter	Penalty Clause
	For $\leq 1\%$ of the calls not getting responded in less than or equal to 60 seconds (per quarter)	No Deduction
	For $> 1\%$ of the calls not getting responded in less than or equal to 60 seconds (per quarter)	0.5% of the monthly O&M value

- n. SLA for Change Requests or enhancements

#	Parameter	Metric	Frequency	Penalty
1	Criticality of Change – Low	$< T$, where T is the timeframe for completion of the Change request as agreed upon by Authority and SI.	Weekly per Occurrence	1 % of change request value per week for the first two weeks for each occurrence, 2 % of change request value per week for every subsequent week, subject to a maximum of 10% post which Authority may invoke termination of the contract.
2	Criticality of Change – Medium	$< T$, where T is the timeframe for completion of the Change request as agreed upon by Authority and SI.	Weekly per Occurrence	1 .5 % of change request value per week for the first two weeks for each occurrence, 2.5 % of change request value per week for every subsequent week, subject to a maximum of 10% post which Authority may

				invoke termination of the contract.
3	Criticality of Change – High	< T, where T is the timeframe for completion of the Change request as agreed upon by Authority and SI.	Weekly per Occurrence	2 % of change request value per week for the first two weeks for each occurrence, 3 % of change request value per week for every subsequent week, subject to a maximum of 10% post which Authority may invoke termination of the contract.

o. SLA for issue resolution

1	Severity 1 Issue	Resolution Time: ≤ 8 Hrs. from the time the call is logged by end user.	Daily	0.1% of monthly O&M value per week for the first two weeks for each occurrence, 0.2% of monthly O&M value per week for every subsequent week, subject to a maximum of 10% post which Authority may invoke termination of the contract.
2	Severity 2 Issue	Resolution Time: ≤ 4 Days from the time the call is logged by end user.	Daily	0.1% of monthly O&M value per week for the first two weeks for each occurrence, 0.2% of monthly O&M value per week for every subsequent week, subject to a maximum of 10% post which Authority may invoke termination of the contract.
3	Severity 3 Issue	Resolution Time: ≤ 10 Days from the time the call is logged by end user.	Daily	0.1% of monthly O&M value per week for the first two weeks for each occurrence, 0.2% of monthly O&M value per week for every subsequent week, subject to a maximum of 10% post which Authority may invoke termination of the contract.
4	Severity 4 Issue	Resolution Time: ≤ 20 Days from the time the call is logged by end user.	Daily	0.1% of monthly O&M value per week for the first two weeks for each occurrence, 0.2% of monthly O&M value per week for every subsequent week, subject to a maximum of 10% post which Authority may invoke termination of the contract.

p. Miscellaneous SLAs

#	Parameter	Metric	Frequency	Penalty
1	Compliance in document versioning and maintenance (FRS, SRS, Business Blueprints, User Training Manual etc.), application version control, updates & patches etc.	100% as per G35 timelines	Daily per occurrence	Rs.5,000 per occurrence per day of delay.
2	Manpower Availability & Readiness	100% as per requirement timelines	Daily	Rs 10,000 per day in case there is shortage in manpower deployment or lack of adequate skills
3	Scheduled downtime for System Maintenance per Week	≤ 2 times per month	Per Occurrence	Rs. 1,00,000 per occurrence for unscheduled downtime or scheduled downtimes exceeding the specified metric.
4	Resource Replacement	Within 7 days of exit of resource (in case of Authority initiated or SI initiated)	Per Occurrence	Rs. 5,000 per day of unavailability of resource
5	Application Security	CyberCrime/Hacking/Data Theft/Fraud attributable to the service provider	Per Occurrence	Depending on the type of incident and its impact, a Penalty of 10% on the entire contract value or in case of severe issue (as defined by Authority) such breach may lead to termination of contract

q. Definitions:

- i. Severity 1: ICCC or Smart City applications down for more than 70% users.
- ii. Severity 2: ICCC or Smart City applications down for more than 30% users.
- iii. Severity 3: Modules of ICCC not functional for users.
- iv. Severity 4: Minor functionality issues with ICCC or Smart City applications.
- v. Response Time: Response time is defined as the time the SI takes to respond from the time that ticket was raised.
- vi. Resolution Time: Resolution time is defined as the time the SI takes to resolve the issue or provide acceptable workaround for the issue.

A.4.2. Network related performance levels

S. No.	Measurement	Definition	Target	Severity Level
1	Equipment Availability In Core, Backbone and Intranet, Aggregation and Access level.	<p>The definition for equipment availability is common for all network equipment i.e., availability in Core, Backbone, Access and Aggregation level.</p> <p>Network equipment (availability for a month is defined as total time (in minutes) in a month - total down time (in minutes) in a month excluding planned network downtime.</p> <p>The network is considered available when all the services in full capacity are available.</p> <p>Network Availability (%) = (Total minutes during the month – Planned downtime - Downtime minutes during the month)</p> <p>*100 / Total minutes during the month</p> <p>Total Time shall be measured on 24*7 basis.</p> <p>Planned Network Component Downtime refers to unavailability of network services due to infrastructure maintenance activities like configuration changes, upgradation or changes to any supporting infrastructure.</p>	≥ 99.5%	0
			≥ 99% to <99.5%	6
			≥ 98.5% to <99%	7
			<98.5 %	8
2	Network Availability at Backbone, Access, Aggregation and Core level.	<p>Details related to such planned outage shall be agreed with the Authority. Measurement Tool: Reports from EMS. SI shall submit monthly reports on the performance and adherence to the SLA while the penalties shall be charged on quarterly basis</p>	≥ 99%	0
			≥ 98.5. % to <99%	6
			≥ 98% to < 98.5%	7
			<98%	8
3	City network backbone Packet Loss	<p>The SLA for backbone packet loss shall be identified on per quality basis.</p> <p>Measurement Tool: Reports from EMS. SI shall submit monthly reports on the performance and adherence to the SLA while the penalties shall be charged on quarterly basis</p>	≤1%	0
			>1%	1

4	Network Latency / Jitter (Core to Access)	Measurement Tool: Reports from EMS. SI shall submit monthly reports on the performance and adherence to the SLA while the penalties shall be charged on quarterly basis	≤ 40 ms	0
			> 40ms	1
5	Mean Time to Repair (MTR) for Fiber	Mean Time to Repair (MTR) shall be monitored on the time taken between logging of complain against the network and its closure Measurement Tool: Reports from EMS. SI shall submit monthly reports on the performance and adherence to the SLA while the penalties shall be charged on quarterly Basis	≤ 8 hrs. per reported fiber failure	0
			> 8 hrs. – 12 hrs.	1
			> 12 hrs.	2

S. No.	Measurement	Definition	Target	Severity Level
1	Availability of Wi-Fi through Access Points (AP)	<p>Downtime means non-working/non-availability of APs at all locations. Uptime shall be calculated as $\{1 - (\text{no. of AP hours not available}) / (\text{Total no of APs} * \text{Total hrs. per quarter})\}$.</p> <p>For ex, if 600 nos. of APs are deployed at various locations, and 20 AP do not work for 1 hour, the total non-working AP hours with be 20 and the uptime would be $\{1 - (20 / (600 * 90 * 24))\}$, 600 being the number of APs, for 90 days on 24 hours basis. This down time shall be used for penalty calculations on quarterly basis and debited from the quarterly payables. The penalties would be levied for every AP down time – be it for non-availability of network, theft, damage or non-availability of power etc.</p> <p>Measurement Tool: Reports from EMS. SI shall submit monthly reports on the performance and adherence to the SLA while the penalties shall be charged on quarterly basis</p>	Up to ≥ 98 % uptime	0
			≥ 96% to <98% up time measured on a monthly basis	2
			≥ 92% to <96% uptime measured on a monthly basis	4
			< 92%	5
2	Average bandwidth to the user	1 Mbps Measurement Tool: Reports from EMS. SI shall submit monthly reports on the performance and adherence to the SLA while the penalties shall be charged on quarterly basis	<1Mbps	2

A.4.3. Wi-Fi related performance levels

Note: Downtime for single AP at any location should not be greater than 12 hours. For every hour beyond this penalty of Rs.1000/- per AP per location would be applicable additional to penalty specified as per SLA and Penalty Deduction for Wi-Fi.

A.4.4 City surveillance and ICT Component related performance levels

S. No.	Measurement	Definition	Target	Severity Level
1	Availability of Applications including: 1. Video Management	Uptime = {1 - (Application downtime- maintenance downtime) / (Total Time –maintenance downtime)} Application Downtime shall be measured from the time the solution becomes unavailable	Minimum 98% uptime measured on a monthly basis	0
	2. Video Analytics 3. ANPR 4. RLVD 5. SVD 6. Vehicle Detection 7. Evidence Camera	(due to any reasons whatsoever attributable to SI) for Business processing to the end user. Each parameter shall be measured separately for penalty. Any downtime for scheduled or preventive Maintenance shall be with prior written intimation to the Purchaser. Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the Severity by one level. e.g., the severity level shall raise from 0 to 1 Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1 Measurement Tool: Reports from EMS	≥96.0% to <98.0 % uptime measured on a monthly basis	5

2	Availability of Applications including: 1. ITMS (Variable message signboard)	Uptime = {1 - (Application downtime- maintenance downtime) / (Total Time –maintenance downtime)}	Minimum 95% uptime measured on a monthly basis	0
	2. Public announcement system	Application Downtime shall be measured from the time the solution becomes unavailable (due to any reasons whatsoever attributable to SI) for Business processing to the end user.	≥ 93.0% to <95.0 % uptime measured on a monthly basis	4
	3. Other applications	<p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	≥ 90.0% to <93.0 % uptime measured on a monthly basis	6
			<90.0% uptime measured on a monthly basis.	9
3	Availability of other software including: 1. Enterprise Management System (EMS)	<p>Uptime = {1 - (Application downtime- maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Application Downtime shall be measured from the time the solution becomes unavailable (due to any reasons whatsoever attributable to SI) for Business processing to the end user.</p>	Minimum 99% uptime measured on a monthly basis	0
			≥97.0% to <99.0 % uptime measured on a monthly basis.	4
			≥95.0% to<97.0% uptime measured on a monthly basis.	6

		<p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	<p><95.0% uptime measured on a monthly basis.</p>	<p>9</p>
4	Availability of other software including: 1. Anti-virus	<p>Uptime = {1 - (Application downtime- Maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Application Downtime shall be measured from the time the solution becomes unavailable (due to any reasons whatsoever attributable to SI) for Business processing to the end user.</p> <p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1 Measurement Tool: Reports from EMS</p>	<p>Minimum 97% uptime measured on a monthly basis</p>	<p>0</p>
			<p>≥96.0% to <97.0 % uptime measured on a monthly basis.</p>	<p>4</p>
			<p>≥95.0% to 96.0% uptime measured on a monthly basis</p>	<p>6</p>
			<p><95.0% uptime measured on a monthly basis</p>	<p>7</p>

5	Availability of Fixed ICCC infrastructure including: 1. Workstations 2. Video wall	<p>Uptime = {1 - (Equipment downtime- maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Equipment Downtime shall be measured from the time the equipment becomes unavailable (due to any reasons whatsoever attributable to SI) for Business processing to the end user to the time it becomes fully available.</p> <p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	Minimum 99% uptime measured on a monthly basis	0
			≥97.0% to <99.0 % uptime measured on a monthly basis	4
			≥95.0% to <97.0% uptime measured on a monthly basis	6
			<95.0% uptime measured on a monthly basis.	7
6	Availability of Fixed ICCC infrastructure including: 1. Semi dome Cameras 2. Phones 3. Biometric access control system 4. Headphone 5. Microphone 6. IP PABX	<p>Uptime = {1 - (Equipment downtime- maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Equipment Downtime shall be measured from the time the equipment becomes unavailable (due to any reasons whatsoever attributable to SI) for Business processing to the end user to the time it becomes fully available.</p>	Minimum 97% uptime measured on a monthly basis	0

		<p>Please note that continuous downtime of every 2hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p> <p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Measurement Tool: Reports from EMS</p>	<p>≥95.0% to <97.0 % uptime measured on a monthly basis.</p>	5
			<p><95.0% uptime measured on a monthly basis.</p>	7
7	<p>Availability of Mobile C&CC Infrastructure including:</p> <ol style="list-style-type: none"> 1. Workstation 2. Camera 3. UPS/DG set etc. 	<p>Uptime = {1 - (Equipment downtime- maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Equipment Downtime shall be measured from the time the equipment becomes unavailable (due to any reasons whatsoever attributable to SI) for Business processing to the end user to the time it becomes fully available for theabove stated business processes.</p>	<p>Minimum 97% uptime measured on a monthly basis</p>	0
		<p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p>	<p>≥96.0% to <97.0 % uptime measured on a monthly basis.</p>	4
		<p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level shall raise from 0 to 1</p>	<p>≥95.0% to <96.0% uptime measured on a monthly basis.</p>	6
		<p>Any downtime for maintenance shall be with prior written intimation to the Purchaser. Measurement</p>	<p><95.0% uptime measured on a monthly basis.</p>	7

		Tool: System generated reports from Mobile C&CC controller		
8	Battery replacement For UPS for all equipment / Devices procured	Replacement of battery used for UPS This excludes regular maintenance of the UPS and its batteries. Measurement Tool: SLA Monitoring tool for inventory management	Batteries to be replaced every 3rd year	5 (will increase by 1 every subsequent 6 months of non-compliance)
9	Repair / replacement of Infrastructure Equipment including: 1. Cameras 2. Variable Message	SI should keep minimum 10% spare at any given point of project execution. Infrastructure equipment should be replaced or repaired after complaint logging from Purchaser officials Measurement Tool: System generated call log at Helpdesk	Within 4 business hours of logging complaint	0
			>4 business hours to 1 business day of logging complaint	2
			>1 to ≤2 business days of logging complaint	3
			More than 2 business days of logging complaint	4
10	Asset / Inventory Management	Provide monthly MIS of Asset Inventory to check asset inventory level. Measurement Tool: SLA Monitoring tool for inventory management	≥ 95% of the minimum required inventory level should be available measured on monthly basis	0
			<95% of the minimum required inventory level	3 (Severity level would increase by 1 for every 5% drop in inventory level)
		Conduct Annual Physical Asset verification and give a report within 2 months from the date of verification.	100% Management approval of Physical Asset verification report	0
			Any incident of non-compliance	5

11	Air Conditioning, Fire detection and suppression system Uptime, Water Leakage detection System, Rodent-Repellent System	Availability of Air Conditioning, fire detection and suppression System, Water Leakage detection System, Rodent-Repellent System in the command & control center, DC site. Periodic audits would be done by the agency to check the availability of these systems. These reports shall be submitted to the purchaser. Measurement Tool: Random checks	100% availability measured periodically	0
			Any incident of non-compliance	5

note

During post-implementation period, in case the pole /outdoor cabinets or any other field equipment is damaged by a vehicular accident (or due to any other reason outside the control of SI) and needs repair/replacement, then the corresponding equipment to be replaced by SI as per the SLAs defined in this section. **In such cases, damages are to be borne by**

SI through proper comprehensive insurance for all the equipment (in the field or at ICC) during contract period.

A.4.4. Camera feed and quality

S. No.	Measurement	Definition	Target	Severity Level
1	Ratio of Live cameras v/s Total number of cameras at any point of time (To be measured every 1 hour)	Number of live working cameras divided by the total number of cameras Measurement tool: Log from VMS tools wherein alerts to the control room shall be generated on non-functioning of the camera	≥ 98%	0
			≥ 95 % to < 98%	3
			< 95%	5

2	Quality of Video feeds (Bad feeds due to Video Jitter, dim, blurred, unfocused, obstructed, non-aligned feeds)	"Poor quality video feed" means blurred, jiggered, dim or unclear video. Camera Feed Error Resolution time is the time taken to improve the feed to satisfactory levels after it has been detected & logged by the Surveillance System / administrative officials. Logging of such calls would be through IT helpdesk system.	≥ 98%	0
			≥ 95 % to < 98%	3
			< 95%	4
3	Average Frame rate maintained for Viewing	Average frame rate as per the requirement of the RFP to be maintained by all cameras Measurement tool: Log from VMS	≥ 90% calculated on a Monthly basis	0
			≥ 85 % to <90% calculated on Monthly basis	3
			<85% calculated on a Monthly basis	4
4	Average Frame rate maintained for recording	Average frame rate as per the requirement of the RFP to be maintained by all cameras Measurement tool: Log from VMS	≥95% calculated on a Monthly basis	0
			≥90 % to < 95% calculated on a Monthly basis	3
			<90% calculated on a Monthly basis	4
5	Video stream Latency	The time required for transmission of video feed from one point to another Measurement tool: Report from EMS	≤40 ms	0
			>40 ms to ≤60 ms	3
			>60 ms	4
6	Change of Screen from one camera Source to another	The time required for transmission of screen from one camera source to another Measurement tool: Log from VMS	≥2 s	0
			>2 s to ≤5 s	3

			>5 s	4
7	Video Feed Query Retrieval Response Time	The time taken for receiving response to a query raised for video feed Measurement tool: Log from VMS	≤10 s	0
			>10 s to ≤20 s	3
			>20 s	4
8	PTZ Lag time	The time taken between movement at keyboard/joystick and actual moving	≥2 s	0
			>2 s to ≤5 s	3
9	ANPR for Standard Number plates (4 wheelers & above)	Percentage of correct readings by ANPR camera for standard number plates for 3 wheelers and above Measurement tool: ANPR system reports	≥70%	0
			≥60% to <70%	3
			<60%	4
10	ANPR for Non-Standard Number plates (4 wheelers & above)	Percentage of correct readings by ANPR camera for standard number plates for 3 wheelers and above Measurement tool: ANPR system reports	≥60%	0
			>50% to <60%	3
			<50%	4
11	ANPR for Standard Number plates (2& 3 wheelers)	Percentage of correct readings by ANPR camera for standard number plates for 2 wheelers and above	≥50%	0
			>35% to <50%	3
			<35%	4
12	ANPR for Non-Standard Number plates (2 & 3 wheelers)	Percentage of correct readings by ANPR camera for non-standard number plates for 2 wheelers and above Measurement tool: ANPR	≥35%	0
			>30% to <35%	3
			<30%	4

A.4.5. Network related performance levels

S. No.	Measurement	Definition	Target	Severity Level
1	Network Availability between a. Cameras installed at each pole and network switch	Network components (availability for a month is defined as total time (in minutes) in a month less total down time (in minutes) in a month excluding planned network downtime. The network is considered available when all the services in full capacity are available.	>98.5%	0
			>97.0% to ≤98.5% uptime measured	4
	b. Network switch and optical fiber	Network Availability (%) = (Total minutes during the month – Planned downtime - Downtime minutes during the month) *100 / Total minutes during the month	on a monthly basis	
	c. Optical fiber to Switch at ICCC and DC	Total Time shall be measured on 24*7 basis. Planned Network Component Downtime refers to unavailability of network services due to infrastructure maintenance activities such as configuration changes, up gradation or changes to any supporting infrastructure.	>95.0% to ≤97.0% uptime measured on a monthly basis	5
		Details related to such planned outage shall be agreed with the Purchaser. Measurement Tool: Reports from EMS	>93.0% to ≤95.0% uptime measured on a monthly basis	6
			<93.0% uptime measured on a monthly basis	7

2	Network Availability for all GPRS enabled equipment and other connectivity for equipment including Variable Message Signboards	<p>Network components (availability for a month is defined as total time (in minutes) in a month less total down time (in minutes) in a month excluding planned network downtime. The network is considered available when all the services in full capacity are available.</p> <p>Network Availability (%) = (Total minutes during the month – Planned downtime - Downtime minutes during the month) *100 / Total minutes during the month</p> <p>Total Time shall be measured on 24*7 basis.</p>	>95.0%	0
			>93.0% to ≤95.0% uptime measured on a monthly basis	4
			>90.0% to ≤93.0% uptime measured on a monthly basis	5

		Planned Network Component Downtime refers to unavailability of network services due to infrastructure maintenance activities such as configuration changes, up gradation or changes to any supporting infrastructure. Details related to such planned outage shall be agreed	<90.0% uptime measured on a monthly basis	7
3	Network Quality of Service	with the Purchaser. Measurement Tool: Reports from EMS	99% throughput of minimum stipulated bandwidth during 24*7 hours	0
		Quality of Service (QoS) refers to the capability of a network to provide traffic engineering to selected network traffic from a. Cameras installed at each pole and network switch b. Network switch and optical fiber c. Optical fiber to Switch at C&CC and DC. The primary goal of QoS is to provide priority including dedicated bandwidth, controlled jitter, latency and improved loss characteristics. Measurement Tool: Reports from EMS	≥97% and <99% throughput of minimum stipulated bandwidth during 24*7 hours	5
			<97% throughput of minimum stipulated bandwidth during 24*7 hours	6

		Average Packet loss exceeding	4
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			0.5% over a month (at Data Centre and WAN level)	
			Latency Delay > 150 ms (every instance) (at Data Centre and WAN level)	4

A.4.6. Service Level for Lighting and Traffic

S. No	Measurement	Definition	Target	Severity Level
1	Availability of applications including: 1. Smart Lighting Operation Management System 2. Adaptive Traffic Management System	Uptime = {1 - (Application downtime-maintenance downtime) / (Total Time – maintenance downtime)} Application Downtime shall be measured from the time the solution becomes unavailable (due to any reasons whatsoever attributable to the Bidder) for Business processing to the end user. Each parameter will be measured separately for penalty. Any downtime for maintenance shall be with prior written intimation to the Purchaser. Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the	Minimum 98% uptime measured on a monthly basis	0
			≥ 96.0% to <98.0% uptime measured on a monthly basis	5
			<96.0% uptime measured on a monthly basis	9

		severity by one level. e.g., the severity level will raise from 0 to 1		
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		<p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>		
2	<p>Availability of Smart Traffic</p> <p>Applications like:</p> <ol style="list-style-type: none"> 1. Variable message system 2. Public announcement system 	<p>Uptime = {1 - (Application downtime-maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Application Downtime shall be measured from the</p>	Minimum 95% uptime measured on a monthly basis	0
		<p>time the solution becomes unavailable (due to any reasons whatsoever attributable to the Bidder) for Business processing to the end user.</p>	≥ 93.0% to <95.0 % Uptime measured on a monthly basis	4
		<p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p>	≥ 90.0% to <93.0% uptime measured on a monthly basis	6
		<p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	<90.0% uptime measured on a monthly basis.	9

3	Availability of other software including: 1. Enterprise Management System (EMS)	Uptime = {1 - (Application downtime-maintenance downtime) / (Total Time – maintenance downtime)}	Minimum 99% uptime measured on a monthly basis	0
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		<p>Application Downtime shall be measured from the time the solution becomes unavailable (due to any reasons whatsoever attributable to the Bidder) for Business processing to the end user. Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	<p>≥ 97.0% to <99.0 % Uptime</p> <p>measured on a monthly basis.</p>	4
			<p>≥ 95.0% to <97.0% uptime</p> <p>measured on a monthly basis.</p>	6
			<p><95.0% uptime measured on a monthly basis.</p>	9

4	Availability of other software including:	<p>Uptime = {1 - (Application downtime-maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Application Downtime shall be measured from the time the solution becomes unavailable (due to any reasons whatsoever attributable to the Bidder) for Business processing to the end user.</p>	<p>Minimum 97% uptime measured on a monthly basis</p>	0
			<p>≥ 96.0% to <97.0% uptime measured on a monthly basis.</p>	4

	1. Anti-virus	Any downtime for maintenance shall be with prior written intimation to the Purchaser.	<p>≥ 95.0% to <96.0% uptime measured on a monthly basis</p> <p><95.0% uptime measured on a monthly basis</p>	<p>6</p> <p>7</p>
		<p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>		
5	<p>Availability of ICCC infrastructure including:</p> <p>1. Workstations</p> <p>2. Video wall</p>	<p>Uptime = {1 - (Equipment downtime-maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Equipment Downtime shall be measured from the time the equipment becomes unavailable (due to any reasons whatsoever attributable to the Bidder) for Business processing to the end user to the time it becomes fully available.</p> <p>Any downtime for maintenance shall be with prior written intimation to the Purchaser.</p> <p>Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the</p>	<p>Minimum 99% uptime measured on a monthly basis</p> <p>≥ 97.0% to <99.0 % uptime measured on a monthly basis</p> <p>≥ 96.0% to <97.0 % uptime measured on a monthly basis</p> <p>≥ 95.0% to <96.0% uptime measured on a monthly basis</p>	<p>0</p> <p>2</p> <p>4</p> <p>6</p>

		<p>severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Please note that continuous downtime of every 4 hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	<p><95.0% uptime measured on a monthly basis.</p>	<p>7</p>
6	<p>Availability of field infrastructure including:</p> <ol style="list-style-type: none"> 1. Public Address System - Loudspeakers 2. System – LED Display Boards 3. GPS based handheld device 4. Smart Light Unit 5. Smart Traffic Detectors, & Controllers 6. Variable Message 	<p>Uptime = {1 - (Equipment downtime-maintenance downtime) / (Total Time – maintenance downtime)}</p> <p>Equipment Downtime shall be measured from the time the equipment becomes unavailable (due to any reasons whatsoever attributable to the Bidder) for Business processing to the end user to the time it becomes fully available.</p> <p>Any downtime for maintenance shall be with prior written intimation to the Purchaser. Please note that continuous downtime of every 2 hours (from 7am to 12midnight) would raise the severity by one level.</p> <p>e.g., the severity level will raise from 0 to 1</p> <p>Please note that continuous downtime of every 4</p>	<p>Minimum 99% uptime measured on a monthly basis</p>	<p>0</p>
			<p>≥ 97.0% to <99.0 % Uptime measured on a monthly basis</p>	<p>2</p>
			<p>≥ 96.0% to <97.0 % Uptime measured on a monthly basis</p>	<p>4</p>
			<p>≥ 95.0% to <96.0% uptime measured on a monthly basis</p>	<p>6</p>

	<p>Signboard</p> <p>7. PA System</p> <p>8. Other equipment</p>	<p>hours (from midnight to 7am) would raise the severity by one level. e.g., the severity level will raise from 0 to 1</p> <p>Measurement Tool: Reports from EMS</p>	<p><95.0% uptime measured on a monthly basis.</p>	7
7	<p>Battery replacement for all equipment / devices procured</p>	<p>Replacement of various equipment batteries. This excludes regular maintenance of the UPS and its batteries. Measurement Tool: SLA Monitoring tool for inventory management</p>	<p>Batteries to be replaced every 3rd year</p>	0
			<p>Any incident of non-compliance</p>	5
8	<p>Repair / replacement of infrastructure equipment including:</p> <p>1. Public Address System Loudspeakers</p>	<p>Bidder should keep minimum 10% spare at any given point of project execution.</p> <p>Infrastructure equipment should be replaced or repaired after complaint logging from Purchaser Officials</p>	<p>Within 1 day of logging complaint</p>	0
			<p>>1 to ≤ 2 business days of logging complaint</p>	3

	<p>2. Passenger Information System – LED Display Boards</p> <p>3. GPS based handheld device</p> <p>4. Smart Light Unit</p> <p>5. Smart Traffic Detectors & Controllers</p> <p>6. Variable Message Signboard</p> <p>7. PA system</p>	<p>Measurement Tool: System generated call log at Helpdesk</p>	<p>More than 2 business days of logging complaint</p>	4
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	8. SIM Card 9. Other equipment			
9	Asset / Inventory Management	Provide monthly MIS of Asset Inventory to check asset inventory level. Measurement Tool: SLA Monitoring tool for inventory Management	≥ 95% of the minimum required inventory level should be available measured on monthly basis	0
			<95% of the minimum required inventory level	3 (Severity level would increase by 1 for every 5% drop in inventory level)
		Conduct Annual Physical Asset verification once in a year and give a report within 2 months from the date of verification.	100% Management approval of Physical Asset Verification report	0
			Any incident of non-compliance	5
		Network components (availability for a month is defined as total time (in minutes) in a month less total down time (in minutes) in a month excluding	≥ 98.5% uptime measured on a monthly basis	0
			>97.0% to ≤ 98.5% uptime	4

10.	Network Availability between a. Field device/unit and network Switch b. Network switch and optical Fiber c. Optical fiber to Switch at C&CC and DC	planned network downtime. The network is considered available when all the services in full capacity is available. Network Availability (%) = (Total minutes during the month – Planned downtime - Downtime minutes during the month) *100 / Total minutes during the Month Total Time shall be measured on 24*7 basis. Planned Network Component Downtime refers to unavailability of network services due to infrastructure maintenance activities such as configuration changes, up gradation or changes to any supporting infrastructure. Details related to such planned outage shall be agreed with the Purchaser. Measurement Tool: Reports from EMS	measured on a monthly basis	
			>95.0% to ≤ 97.0% uptime measured on a monthly basis	5
			>93.0% to ≤ 95.0% uptime measured on a monthly basis	6
			<93.0% uptime measured on a monthly basis	7
11.	Network Availability for all GPRS/GSM/3G enabled equipment and other connectivity for equipment	Network components (availability for a month is defined as total time (in minutes) in a month less total down time (in minutes) in a month excluding planned network downtime. The network is	≥ 95.0% uptime measured on a monthly basis	0
	including: 1. Smart Light Unit 2. Variable Message Signboard 3. Other equipment	considered available when all the services in full capacity is available. Network Availability (%) = (Total minutes during the month – Planned downtime - Downtime minutes during the month) *100 / Total minutes during the Month Total Time shall be measured on 24*7 basis. Planned Network Component Downtime refers to	>93.0% to ≤ 95.0% uptime measured on a monthly basis	4
			>90.0% to ≤ 93.0% uptime	

		unavailability of network services due to infrastructure maintenance activities such as configuration changes, up gradation or changes to any supporting infrastructure. Details related to such planned outage shall be agreed with the Purchaser. Measurement Tool: Reports from EMS	measured on a monthly basis	5
			<90.0% uptime measured on a monthly basis	7
12	Network Quality of Service	<p>Quality of Service (QoS) refers to the capability of a network to provide traffic engineering to selected network traffic from</p> <p>a. Field device/unit and network switch</p> <p>b. Network switch and optical fiber</p> <p>c. Optical fiber to Switch at C&CC and DC.</p> <p>The primary goal of QoS is to provide priority including dedicated bandwidth, controlled jitter, latency and improved loss characteristics.</p> <p>Measurement Tool: Reports from EMS</p>	99% throughput of Minimum stipulated bandwidth during 24*7 hours	0
			≥ 97% and <99% throughput of Minimum stipulated bandwidth during 24*7 hours	5
			<97% throughput of minimum Stipulated bandwidth during 24*7 hours	6
			Average Packet loss exceeding 0.5% over a month (At Data Centre and WAN level)	4
				4

Latency Delay >150 ms (every instance) (at Data Centre and WAN level)

A.4.7. Helpdesk SLA

Service	Parameter	SLA	Validation	Penalty
Helpdesk Availability	Help desk should be available and All incidents/ events raised with the IT helpdesk should be logged into the system and the number should be provided to the employee	100% calls to be logged and service ticket no. shall be Generated	Reports generated from Ticket logging system	95%-99% calls are logged and ticket is generated: penalty of 2% of monthly O&M Charges
				90%-95% calls are logged and ticket is generated: penalty of 5% of monthly O&M Charges
				< 90% call resolved in specified time: penalty of 10% of monthly O&M Charges

	Resolution of ticket logged as per the	99%	Reports generated from ticketing logging system	95%-99% calls resolved in specified time: penalty of 2% of monthly O&M Charges
				90% - 95% calls resolved in specified time: penalty of 5% of monthly O&M Charges

Helpdesk	Severity definition chart			< 90% call resolved in specified time: penalty of 10% of monthly O&M Charges
Desk Side Technical support Services	Resolution of ticket logged as per the Severity definition chart	95%	Reports generated from Ticket logging system	1% of monthly O&M Charges
Problem Management	Supplier shall analyze all the incidents and provide a root cause report every month if 10 incidents of the same type. Supplier shall take the needed corrective action to prevent further issues due to the same cause.	100% timely submission covering all incidents logged in that month	Root cause report	5% penalty on the monthly O&M charges of that project area, if the supplier does not submit a problem report for that month.
			Incident report stating problem faced by users	5% penalty on the monthly O&M charges of that project area, if the supplier does not perform corrective action for more than a calendar month.
			Document detailing corrective action	

A.4.8. Cyber security SLA

SLA Parameter	Definition	Service Levels	Penalty
Uptime			
Application	Uptime of Smart city applications shall be maintained as per the threshold level on a monthly basis (24x7)	99.5%	X% of the monthly

Uptime	SI, in discussion with the Authority, will prepare a list of the applications to be monitored for SLA		payment
Application Response time	Response time of Smart city applications shall be maintained as per the threshold level on a monthly basis (24x7) SI, in discussion with the Authority, will prepare a list of the applications to be monitored for SLA	99.5%	X% of the monthly payment
Infrastructure Uptime	Uptime of IT infrastructure (systems, devices) shall be maintained as per the threshold level on a monthly basis (24x7) SI, in discussion with the Authority, will prepare a list of the systems / devices to be monitored for SLA Note: For calculation of this SLA, in case of cluster/HA implementation, the cluster/HA will be counted as one unit.	99.98%	Y% of the monthly payment
Security breach / incidents			
Security Breach	SI to ensure zero security breaches (internal or external) that result in compromise of the security of the data and systems.	0 violations	A% of the monthly payment
Reporting of security breach	Smart City to report the security breach to Authority and other relevant bodies, as discussed with Authority, within 24 hours of reported breach along with the detailed Analysis	100%	B% of monthly payment
Security incident classification	Incidents shall be classified correctly based on the approved classification criteria in the security incident management policy and process.	>=99% of security incidents classified correctly	C% of monthly payment

Security incident response and Resolution	Response and resolution time for Critical, Medium and Low priority tickets		>99% of the issues in the particular Priority bucket are addressed within time on a monthly basis	D% of monthly payment	
	Priority	Response time (Hrs.)			Resolution Time (Hrs.)
	Critical	0.5			4
	Medium	1			8
	Low	2			48
<ul style="list-style-type: none"> o "Response Time" means time taken to acknowledge the tickets. o "Resolution Time" means time taken to close the tickets after providing the root cause analysis or resolution of the issue. Root cause report to be sent within 24 hours of reporting / resolution of the ticket. The resolution and/or root cause should be acceptable to the Authority. o SLAs to be calculated for each Priority type on a monthly basis 					

Security Operations

Update of security Patches	Security patches to be evaluated and updated within 24 hours of patch release on all the relevant systems and reported to Authority as per the process	0 violations	A% of monthly payment
Updates of rules, policies, and signatures on security devices (e.g., anti-virus, Firewall, IPS)	Rules, policies and signatures to be updated on respective security devices as per the agreed timelines Smart City shall prepare the matrix for updating in discussion with Authority.	>99%	B% of monthly payment

Change	Changes to the systems / applications shall be tracked and performed as per the		C% of
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Management	Change management process. Authority approval shall be required for each change as per the change management process.	>99%	monthly payment
Security Assessment	Security assessment to be performed by SI team before go Live, (independent of implementation team) on a half-yearly basis, and by an external agency (appointed by smart city) on a yearly basis	100%	D% of monthly payment
Closure of vulnerabilities	<p>Vulnerabilities identified during any assessment or reported by any stakeholder need to be closed as per below criticality and timelines:</p> <ul style="list-style-type: none"> o Critical – within 8 hours of time o Medium – within one week o Low – within two weeks <p>Criteria for classification of vulnerabilities shall be developed by Smart City, and approved by Smart City. Any exception in the closure of vulnerabilities shall be approved by Smart City. Open vulnerabilities will be monitored on a regular basis, and any balance opening from previous month shall be considered for SLA calculation in the current month.</p>	99%	E% of monthly payment

Note: Penalty captured above is indicative and need to be updated by respective Smart City as per the payment milestone and criticality of SLA.

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